

INSULIN SIGNALING AND SYNAPTIC PHYSIOLOGY: INSIGHTS  
INTO THE PATHOGENESIS OF ALZHEIMER'S DISEASE

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Brian Christopher Shonesy

Certificate of Approval:

---

Rajesh H. Amin  
Assistant Professor  
Pharmacal Sciences

---

Vishnu Suppiramaniam, Chair  
Associate Professor  
Pharmacal Sciences

---

Muralikrishnan Dhanasekaran  
Assistant Professor  
Pharmacal Sciences

---

Robert L. Judd  
Associate Professor  
Anatomy, Physiology and  
Pharmacology

---

Kevin W. Huggins  
Assistant Professor  
Nutrition and Food Sciences

---

Forrest T. Smith  
Associate Professor  
Pharmacal Sciences

---

George T. Flowers  
Dean  
Graduate School

INSULIN SIGNALING AND SYNAPTIC PHYSIOLOGY: INSIGHTS  
INTO THE PATHOGENESIS OF ALZHEIMER'S DISEASE

Brian Christopher Shonesy

A Dissertation

Submitted to

the Graduate Faculty of

Auburn University

in Partial Fulfillment of the

Requirements for the

Degree of

Doctor of Philosophy

Auburn, Alabama  
August 10, 2009

INSULIN SIGNALING AND SYNAPTIC PHYSIOLOGY: INSIGHTS  
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Brian Christopher Shonesy

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Signature of Author

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Date of Graduation

## VITA

Brian Christopher Shonesy, son of Michael and Linda Shonesy, was born on August 16, 1983, in Montgomery, Alabama. He graduated from Huntsville High School in Huntsville, Alabama in 2002. He began his research career in the laboratory of Dr. Vishnu Suppiramaniam as an undergraduate in 2005 at Auburn University, where he graduated cum laude with a Bachelor of Science degree in Biomedical Sciences on May 11, 2006. He entered the doctoral program in the Department of Pharmacal Sciences at Auburn University in 2007.

DISSERTATION ABSTRACT  
INSULIN SIGNALING AND SYNAPTIC PHYSIOLOGY: INSIGHTS  
INTO THE PATHOGENESIS OF ALZHEIMER'S DISEASE

Brian C. Shonesy

Doctor of Philosophy, August 10, 2009  
(B.S., Auburn University, 2006)

135 Typed Pages

Directed by Vishnu Suppiramaniam

An emerging hypothesis is that desensitization of the neuronal insulin receptor (central insulin resistance) may contribute to the pathogenesis of Alzheimer's disease (AD). It is believed that deficits in glutamatergic function may underlie the cognitive deficits observed in AD. Therefore, understanding the impact of central insulin resistance on glutamatergic physiology may lead to therapeutic manipulations which halt the progression of AD before irreversible damage occurs. A useful model of central insulin resistance is the ic-STZ (intracerebroventricular streptozotocin) animal model. STZ is known to inhibit insulin receptor function in the brains of these animals. Moreover, these animals display classic AD-type pathology including amyloid-beta deposition, tau hyperphosphorylation, neuroinflammation and deficits in spatial memory performance. Therefore, these animals were utilized in this study to elucidate the effect of central insulin resistance on glutamatergic synaptic physiology.

We performed extracellular field recordings in acute hippocampal slices from ic-STZ rats and sham infused controls and found that these animals exhibit severe deficits in basal synaptic transmission and long-term potentiation (LTP). Based on input-output relations and paired-pulse facilitation experiments, we hypothesized that these deficits in synaptic transmission arise from a disturbance of postsynaptic physiology.

The AMPA-type glutamate receptor mediates the majority of basal excitatory synaptic transmission and is essential for the induction of LTP during the acquisition of new memory. Whole cell patch clamp recordings revealed a profound deficit in AMPA receptor-mediated currents, which we determined are due in part to changes in the function of the individual AMPA receptors. Protein and mRNA expression analysis from these animals suggest that these deficits may also be explained by altered AMPA receptor-subunit composition. Our results suggest that cellular trafficking of the GluR1 AMPA receptor subunit may be impaired in these brains and future investigation into the precise role of insulin in glutamate receptor trafficking seems warranted. We utilized several biochemical techniques to detect changes in GSK-3beta, Akt, integrin-linked kinase (ILK), BDNF and Erk-1/2 at the transcriptional, translational and posttranslational levels. The results of these studies suggest a novel role for ILK in synaptic plasticity and provide preliminary evidence for a role for ILK in neurodegeneration. Understanding more about this kinase and its role in neuronal function may lead to novel therapeutic targets for neurodegenerative disease and learning and memory disorders.

## ACKNOWLEDGEMENTS

I would like to thank my mentor Dr. Vishnu Suppiramanaim for his support and interest in my professional development throughout the doctoral program. I would like to thank my colleagues Dr. Kodeeswaran Parameshwaran, Dr. Nayana Wijayawardhane, Dr. Catrina Sims-Robinson and Senthilkumar Karuppagounder for their support and stimulating discussions during this study. I would especially like to thank Kariharan Thiruchelvam for his assistance in multiple aspects of this work. I am extremely grateful to Dr. Muralikrishnan Dhanasekaran, Dr. Kevin Huggins, Dr. Rajesh Amin and Dr. Forrest Smith for their guidance and contributions of resources, time and technical assistance, which made this project possible. I would also like to thank Dr. Robert Judd, Dr. Eric Plaisance, Dr. Lucas Pozzo-Miller and Dr. Alexander Dityatev for their insightful advice. I would like to thank Dr. William Ravis and Dr. Charlene McQueen for their support and leadership throughout the doctoral program. Finally, I would like to thank my family for their patience, love and support.

Style manual or journal used: Journal of Neuroscience

Computer software used: Adobe Photoshop CS2, Endnote X2, GraphPad Prism 5.0, Microsoft Excel 2008, Microsoft Word 2008, pClamp 9.0 and WinLTP



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## **CHAPTER I: INTRODUCTION**

Alzheimer's disease (AD) is the most common form of dementia, affecting nearly 4.5 million Americans according to the 2000 census (Hebert et al., 2003). It is estimated that the without significant advancements in the prevention of this disease, the U.S. prevalence will reach 13 million by 2047 (Brookmeyer et al., 1998). AD is characterized by two classical pathological hallmarks, the intracellular neurofibrillary tangles and the extracellular senile plaques composed of tau protein and amyloid- $\beta$  peptide respectively. Previous reports demonstrate that hippocampal synaptic dysfunction precede frank neuronal degeneration in AD (Selkoe, 2002; Walsh et al., 2002); furthermore, while these deficits are associated with A $\beta$  pathology, the underlying mechanism remains elusive.

The prevalence of insulin resistance is reaching epidemic levels, with recent survey data indicating that 26% of all adults in the U.S. show impaired fasting glucose tolerance (Cowie et al., 2006). The brain insulin system has been a major topic of focus in neurodegeneration over the past decade. Epidemiological studies provide strong evidence that there may be a link between AD and insulin dysfunction (Leibson et al., 1997; Arvanitakis et al., 2004; Janson et al., 2004; Luchsinger et al., 2004; Kulstad et al., 2006); furthermore, recent research indicates that insulin may have a role in normal cognitive function, particularly in the hippocampus, a structure involved in memory

encoding. It is clear that learning and memory deficits are present in both type 1 and type 2 diabetes (Perlmutter et al., 1984; U'Ren et al., 1990; Gispen and Biessels, 2000), and that insulin dysfunction is associated with neurodegenerative pathology (Craft and Watson, 2004; Luchsinger et al., 2004; Watson and Craft, 2004). Moreover, insulin resistance is one of the highest risk factors for Alzheimer's disease (AD); however, the mechanistic basis by which these two diseases are linked remain unclear.

An emerging hypothesis is that Alzheimer's disease may represent a state of central insulin resistance. Alzheimer's patients show lower CSF and higher plasma insulin concentration than that of normal patients (Kulstad et al., 2006). Additionally, insulin has also been shown to enhance memory in Alzheimer's patients as well as normal individuals (Kern et al., 1999; Watson and Craft, 2003). It has been previously demonstrated that abnormalities in insulin (Hoyer, 1996; Boyt et al., 2000; Hoyer, 2004) as well as IGF signaling (Dore et al., 1997; Dore et al., 1999; Beattie et al., 2000; Jafferli et al., 2000; Costantini et al., 2006; Adlerz et al., 2007) can cause increased accumulation of amyloid- $\beta$ ; furthermore, correcting these abnormalities has shown much prospect for improving cognition in the aged brain (Simpson et al., 1994; Dore et al., 1997; Dore et al., 1999; Beattie et al., 2000; Jimenez Del Rio and Velez-Pardo, 2006; Xing et al., 2006; Xing et al., 2007). Insulin resistance is an underlying pathology for almost all vascular risk factors for AD. It leads directly to cardiovascular disease, hypertension, small vessel strokes and diabetes, all of which may also increase an individuals risk for developing AD.

Insulin has a profound influence on memory and cognition in the brain. It is essential for increasing glucose utilization during heightened activity, and seems to directly modulate the strengthening of synaptic connections, which occurs during memory formation. In the mammalian brain, there are several structures involved in memory function including the amygdala, neocortex, mammillary bodies and hippocampus. The hippocampus is essential for the formation of new episodic memories; furthermore, animal studies reveal that lesions, pharmacological inhibition, or genetic knockouts specific to the hippocampus result in an inability to learn as well as a loss of spatial memory (Tsien et al., 1996; Martin et al., 2002; Jacobsen et al., 2006; Pastalkova et al., 2006). Spatial memory, which is the major form of memory impaired in AD, is primarily mediated by the hippocampus. Moreover, post-mortem histopathology has demonstrated that this region is highly affected by AD pathology. Learning and memory is mediated at a cellular level through a process known as long-term potentiation (LTP) (Bliss and Lomo, 1973a), in which long lasting modifications in synaptic connections result in enhanced neuronal transmission (Bliss and Collingridge, 1993). Previous studies have shown that LTP is impaired in experimental models of Alzheimer's disease (Chapman et al., 1999; Larson et al., 1999; Walsh et al., 2002; Jacobsen et al., 2006); moreover, similar findings are found in diabetic animals (Biessels et al., 1996; Kamal et al., 2005). These findings strengthen the hypothesis that altered post-synaptic glutamatergic transmission is related to deficits in learning and plasticity in this animal model. There are two types of ionotropic glutamate receptors found at Schaffer Collateral-CA1 synapses that are responsible for the induction and maintenance of LTP,

and these are the N-methyl-d-aspartate (NMDA) receptor and the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole propionate (AMPA) receptor respectively (Muller and Lynch, 1988; Bliss and Collingridge, 1993; Dingledine et al., 1999).

There is increasing evidence that insulin signaling has important an important role in synaptic physiology (Plitzko et al., 2001; Skeberdis et al., 2001; Gerozissis, 2003; Xing et al., 2007). Insulin receptors are present at synapses, and are highly expressed in areas of rich synaptic density in the cortex and hippocampus (Werther et al., 1987), suggesting a relationship between insulin signaling and synaptic plasticity. Moreover, IR expression is upregulated in the rat hippocampus during behavioral tasks such as the Morris-Water Maze (Zhao et al., 1999). Multiple investigators have reported long lasting disturbances in learning and memory in ic-STZ animals (Mayer et al., 1990; Lannert and Hoyer, 1998; Salkovic-Petrisic et al., 2006); however, the synaptic mechanism underlying these deficits has not been examined.

The impact of central insulin resistance on glutamatergic physiology has not been previously investigated. A useful model of central insulin resistance is the ic-STZ (intracerebroventricular streptozotocin) animal model. STZ is known to inhibit insulin receptor function in the brains of these animals. More importantly, however, these animals eventually develop classic AD-type pathology including amyloid- $\beta$  deposition, tau hyperphosphorylation, neuroinflammation and deficits in spatial memory performance. Therefore, these animals were utilized in this study to elucidate the effect of central insulin resistance on glutamatergic synaptic physiology. The first specific aim of this study was to establish whether or not these animals displayed deficits in long term



potentiation, which we predicted would occur based on previous reports demonstrating impairments in hippocampal-dependent memory performance in these animals. Once we established that these animals did in fact have deficits in plasticity, we then attempted to elucidate a mechanism accounting for these observations.

Synaptic deficits are the primary cause of memory impairment in AD and precede the neuronal atrophy associated with late stage AD. Therefore, a thorough understanding of the changes in synaptic physiology and the etiology of these alterations would lead to the development of therapeutic manipulations which can halt the progression of AD before irreversible damage occurs. Therefore, this study examined the changes in glutamatergic synaptic physiology at the cellular, molecular and neuronal circuit level. Elucidating the influence of central insulin resistance on synaptic transmission and synaptic plasticity in an animal model where plasma glucose remains normal is essential to fully understanding the role of insulin signaling in synaptic function. Furthermore, correlating the alterations mRNA and protein expression levels of important synaptic proteins with changes synaptic plasticity in ic-STZ animals provides a more detailed understanding of the complex mechanism by which the insulin-signaling pathway modulates synaptic physiology. The results of this study also highlight important differences between reported effects on synaptic physiology in type 1 diabetic animals and AD experimental models, which will aid in our understanding of etiology of the memory deficits observed in both of these diseases.

## **CHAPTER II: REVIEW OF LITERATURE**

### **The Hippocampus**

The hippocampus is located in the medial temporal lobe of the cerebral cortex, and is one of the most widely studied structures of the brain. The relatively simple cellular organization and highly organized laminar network of its inputs has made it a popular model system amongst investigators. More importantly, the hippocampus has received a great deal of attention due to its essential role in learning and memory. The hypothesis that the hippocampus is important for the formation of new memories is supported by the evidence that damage to the hippocampus leads to anterograde amnesia, which is a deficit in storing new memories. Furthermore, the hippocampus is also believed to play a role in the long-term storage of information, as damage can also affect access to memories prior to the damage, which is called retrograde amnesia (Scoville and Milner, 1957). Older memories, however, remain intact after lesioning suggesting that over time the transfer of information out of the hippocampus and into other parts of the cortex occurs.

As shown in figure 2-1, the hippocampus is subdivided into four subregions called Cornu Ammonis (CA) areas, which are the CA4, CA3, CA2 and CA1. Just adjacent to the CA is the dentate gyrus (DG), which is divided into the fascia dentata and

the hilus. The neurons in the CA4 region do not have pyramidal morphology like those in the other CA subregions, for this reason many neuroanatomists do not recognize the CA4 as a separate region, but instead consider it as part of the hilus. The hippocampus receives neocortical input primarily through layer II of the entorhinal cortex, which projects onto granule cell dendrites of the fascia dentata via perforant pathway axons. Axons of the granule cells make up the mossy fiber pathway, which project to the hilus and CA3 region. In addition to the mossy fibers, the CA3 receives input from the contralateral hippocampus through the commissural pathway. The majority of axons from CA3 pyramidal cells make up the Schaffer collateral pathway which inputs onto pyramidal neurons of the CA1; however, some send fibers back to the hilus and others may also form recurrent connections terminating within the CA3. The small region between the CA3 and CA1 is the CA2, which receives input from layer II of the entorhinal cortex via perforant path fibers. The pyramidal cells of the CA1 send axons to layer V of the entorhinal cortex and forward to the subiculum, which closes the hippocampal-processing loop, which begins in layer II of the entorhinal cortex, and ends in layer V.

### **Glutamatergic Synaptic Transmission**

Glutamate is the major excitatory neurotransmitter in the hippocampus as well as the entire CNS. After release from the presynaptic bouton, glutamate crosses the synaptic cleft and can activate both ionotropic (iGluRs) and metabotropic (mGluRs) receptors. The iGluR family is composed of the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA), kainite and N-methyl-D-aspartate (NMDA) receptors.

These receptors contain cation specific ion channels, which mediate the flux of sodium, potassium and calcium ions when activated by glutamate. mGluRs are G-protein coupled receptors whose activation results in the activation of an intracellular secondary messenger system.

The activation of ionotropic glutamate receptors results in an excitatory postsynaptic potential (EPSP). Fast inward current generated by AMPA receptors contributes to the early phase of the EPSP. The role of kainate receptors in synaptic transmission is poorly understood, but it is thought that they do not contribute to the fast EPSP. However, a low amplitude kainate receptor-mediated current can be detected in some neurons in response to high-frequency stimulation (Castillo et al., 1997; Cossart et al., 1998; Cossart et al., 2002). The ion channel of the NMDA receptor is blocked by a Magnesium ion at resting membrane potential. This blockade is relieved upon membrane depolarization, thus NMDA receptors are both ligand and voltage gated ionotropic receptors (Crunelli and Mayer, 1984; Mayer et al., 1984; Nowak et al., 1984).

### *AMPA Receptors*

When an AMPAR is activated by glutamate, the rise time of the current response reflects the time required for glutamate to induce a conformational change in the receptor such that the ion channel opens allowing the flux of ionic current. The reverse of this, receptor deactivation, is reflected by the decay time and is the process by which glutamate is released from the binding domain and the ion channel closes. If the time of glutamate exposure is prolonged, the persistent reactivation of the receptor results in another time course of channel closure known as desensitization. During desensitization,

glutamate remains bound to the ligand-binding domain; however, the receptor adopts a distinct conformation, which results in channel closure. The extent to which the rates of deactivation and desensitization contribute to synaptic transmission varies according to a variety of factors including glutamate clearance from the synaptic cleft, the geometry of the synapse, and the type of synapse (Jonas, 2000).

The ionotropic glutamate receptors share a common structure. They are all multimeric assemblies consisting of four to five subunits consisting of four hydrophobic transmembrane regions within the central region of the amino acid sequence. They are unique compared to the subunits of most ionotropic receptors in that their second transmembrane region forms a reentrant loop resulting in an extracellular N-terminus and an intracellular C-terminus.

AMPA receptors are expressed as a combination of four subunits, GluR1-4, as ion channel tetramers (Rosenmund et al., 1998). The subunit composition directly influences a variety of properties of the ion channel itself as well as the localization of the receptor. Homomeric channels composed of GluR1, GluR3 and GluR4 are calcium permeable and inwardly rectifying. In contrast, homomeric GluR2 channels, although rarely expressed in non-manipulated physiological systems, are impermeable to calcium and have outwardly rectifying properties (Hollmann et al., 1991; Burnashev et al., 1992). More importantly, the properties of this subunit override the properties of any of the other subunits so that any channel containing the GluR2 subunit will be calcium impermeable and outwardly rectifying, regardless of the presence of GluR1-3 (Hollmann et al., 1991; Jonas and Burnashev, 1995). This phenomenon is due to an arginine residue in place of

glutamine in the pore-forming region of GluR2, which is the lone determinant for both calcium permeability and IV relationship (Hume et al., 1991). Even more interesting was the discovery that the arginine codon (CGG) in GluR2 is actually encoded as a glutamine codon (CAG) in the DNA sequence. Thus indicating that this difference is mediated by posttranscriptional mRNA editing (Sommer et al., 1991). This “Q/R” editing occurs in >99% of all GluR2 mRNA transcripts (Seeburg, 2002).

Another structural determinant of AMPAR properties is alternative splicing of the second extracellular region of all four GluR subunits, which is designated as “flip” and “flop” and shown in figure 2-2 (Sommer et al., 1990). Splicing of this flip flop region has a profound effect on the ligand-binding and kinetic properties of the AMPAR, and is differentially regulated according to development and anatomical location (Nakanishi, 1992). Specifically, the flop variant has a faster rate of desensitization in response to glutamate than the flip (Sommer et al., 1990).

### *AMPA Receptor Trafficking*

The surface expression of AMPARs on the postsynaptic membrane is highly regulated and has an extreme influence on synaptic excitability. Receptor surface expression is controlled at multiple levels. The first is transcriptional regulation, which is relatively poorly understood. Interestingly, Turrigiano and colleagues showed that inhibition of synaptic transmission upregulates AMPAR transcription (Turrigiano et al., 1998), presumably as a means of compensation. Another means by which AMPAR density may be regulated is through trafficking. AMPA mRNA is translated on the rough endoplasmic reticulum (ER), and following translation is glycosylated at its N-terminus

while still in the ER. In the ER, the four AMPAR subunits will assemble in different combinations forming tetrameric channels (Rosenmund et al., 1998). Generally, most will contain GluR1/2 or GluR2/3 combinations; however, this varies according to developmental stage and anatomical location. Following export from the ER, AMPARs are trafficked to the Golgi, where the glycosylated N-terminus is further modified. Following this, the receptors are packaged in cytosolic vesicles and translocated to the synaptic terminal region.

The targeting and insertion of AMPARs onto the surface of the postsynaptic membrane is extremely complicated and still not completely understood. Whether or not AMPARs are first inserted at extrasynaptic sites and then laterally diffuse to synaptic locations has not been fully elucidated. However, a recent study reported that AMPARs are first inserted at somatic locations and traverse along the dendrite (Adesnik et al., 2005). Still others support a mechanism where AMPARs are trafficked via the cytoskeleton and are directly inserted into the postsynaptic density (Gerges et al., 2006). Moreover, a third possible mechanism is that AMPARs are synthesized directly in dendritic compartments and then inserted at dendritic sites (Passafaro et al., 2001).

The surface expression of AMPARs is also dependent on the subunit composition. Under basal conditions, the exocytosis of GluR1 and GluR4 receptors occurs slowly (Hayashi et al., 2000), whereas GluR2 is rapid and occurs constitutively (Passafaro et al., 2001). During heightened synaptic activity and NMDAR activation, GluR1 and GluR4 receptor insertion is enhanced and GluR1 trafficking signals dominate over GluR2 (Hayashi et al., 2000).

It was previously thought that AMPAR trafficking to the surface of the postsynaptic membrane was mediated entirely by interactions between the C-terminus of GluR2 and several cytoplasmic proteins including PICK1, GRIP1, NSF, and SAP97 (Milstein and Nicoll, 2008). However, it has since been discovered that AMPARs associate with stargazin, a four-pass transmembrane protein that directly interacts with postsynaptic density-95 (PSD-95) via a C-terminal PDZ-binding domain (Chen et al., 2000; Schnell et al., 2002; Bats et al., 2007). This transmembrane AMPAR regulatory protein (TARP) is essential for the trafficking of AMPARs to the surface of the postsynaptic membrane (Hashimoto et al., 1999; Vandenberghe et al., 2005). Moreover, studies that are more recent have reported that stargazin has a profound effect on the functional properties of AMPARs. Specifically, it has been demonstrated that stargazin slows the rate of AMPAR activation, deactivation and desensitization (Priel et al., 2005; Tomita et al., 2005; Turetsky et al., 2005; Milstein et al., 2007). Single-particle electron microscopy revealed that stargazin has an effect on the conformational structure of AMPARs (Nakagawa et al., 2005). Furthermore, this is supported by single-channel patch clamp recordings in which the TARP increases the channel conductance (Tomita et al., 2005; Soto et al., 2007).

AMPARs are phosphorylated at multiple sites along the C-terminal domain by protein kinase A (PKA), calcium calmodulin-dependent kinase II (CaMKII) and protein kinase C (PKC) (Roche et al., 1996). Phosphorylation results in the interaction between the C-terminal domain and PSD-95 as well as with GRIP, PICK, NSF and SAP97 which respectively enhance AMPAR surface expression and anchoring to the PSD (Sheng and



Lee, 2001), promote AMPAR clustering (Xia et al., 1999) and facilitate AMPAR exocytosis (Nishimune et al., 1998).

### *NMDA Receptors*

N-methyl-D-aspartate receptors (NMDARs) are ionotropic ligand-gated voltage-dependent glutamate receptors, composed of assemblies of the NMDAR subunits NR1, NR2 and NR3 (Hollmann et al., 1989; Moriyoshi et al., 1991; Monyer et al., 1992). The NR1 subunit exists as eight splice isoforms (Dingledine et al., 1999). In heteromeric complexes NR1 is the essential subunit (Forrest et al., 1994) required for the formation of functional NMDARs; furthermore, it also contains the binding-domain for the co-agonist glycine (Monyer et al., 1992; Anson et al., 1998; Banke and Traynelis, 2003). The NR2 subunit contains the glutamate-binding domain (Planells-Cases et al., 1993) and is subdivided into the four subtypes NR2A-D each encoded by a different gene. The NR2 subunits differ in their structural and pharmacological properties and are main determinants of NMDAR function. NMDARs are composed of two NR1 subunits and either two NR2 subunits or NR3 subunits (Sheng et al., 1994; Behe et al., 1995). The NR2 subunits can either be a heteromeric tetramer of two NR1 and two NR2A subunits or two NR1 and two NR2B subunits. Triheteromeric tetrameric NMDARs also exist, for example a receptor may consist of two NR1, one NR2A and one NR2B (Dunah et al., 1998). In the hippocampus of adult mice, NMDARs are primarily composed of NR2A and NR2B heteromers, with a small portion of triheteromeric NR2A/NR2B receptors present as well. The NR2 subunits dictate the functional properties of the channels,

affecting the channel open time, conductance and magnesium sensitivity. Furthermore, the NR2 subunit expression is regulated developmentally.

The surface distribution of NMDA receptors can be classified into three categories: synaptic, perisynaptic and extrasynaptic. The synaptic NMDA receptor pool is defined by its association with the postsynaptic density (PSD) and are activated by synaptically released glutamate. The perisynaptic pool are approximately 300 nm from the PSD (Clark and Cull-Candy, 2002; Petralia et al., 2005; Zhang and Diamond, 2006) and are still in close enough proximity to respond to synaptically released glutamate, but only during strong synaptic stimulations. Interestingly, a small portion of synaptic NMDA receptor signaling is dependent on synaptically released glutamate from adjacent synapses in hippocampal pyramidal neurons (Scimemi et al., 2004). The third pool, which is far less understood, is the extrasynaptic pool of NMDA receptors. The surface density of these receptors is far lower than that of the synaptic and perisynaptic receptor pools; however, the receptors represent about a third of the total NMDA receptor surface population in adults and nearly two-thirds in early developmental stages (Tovar and Westbrook, 1999; Groc et al., 2006). Extrasynaptic NMDA receptors are probably not activated by synaptically released glutamate under physiological conditions but may be activated by glutamate derived from other sources. Moreover, some have hypothesized that pathological conditions may exist whereby surges in synaptic glutamate release may occur in sufficient amounts to induce spillover into extrasynaptic sites, thereby activating the extrasynaptic pool of NMDA receptors (Misra et al., 2000; Clark and Cull-Candy, 2002; Brickley et al., 2003). This hypothesis is of particular relevance to Alzheimer's

disease, in which increased presynaptic glutamate release is thought to underlie the overactivation of NR2B NMDA receptors, predominate the extrasynaptic pool, leading to excitotoxicity and synaptic depression.

The predominating hypothesis concerning the subunit composition of the different pools is that surface NR2A containing receptors were primarily found in the synaptic pool with NR2B predominately located in the extrasynaptic pool (Carmignoto and Vicini, 1992; Khazipov et al., 1995; Shi et al., 1997; Kew et al., 1998; Tovar and Westbrook, 1999; Liu et al., 2004). However, this view has been recently challenged by recent reports demonstrating a more significant presence of NR2A and NR2B in the extrasynaptic and synaptic pools respectively (Luo et al., 1997; Mohrmann et al., 2002; Groc et al., 2006; Thomas et al., 2006). These variations in NMDA receptor distribution have prompted interest in the mechanism by which different receptor subunits are segregated into distinct receptor pools and into the function of each discrete pool of surface NMDA receptors in relation to synaptic plasticity.

The results of several reports suggest that the localization of NMDA receptors to the synaptic pool is regulated by NMDA receptor interaction with PDZ-binding domain proteins especially PSD-95 (Li et al., 2002; Li et al., 2003; Lim et al., 2003). Mutation-induced truncation of the PDZ-binding site where NR2B-containing receptors interact with SAP-102 and PSD-93 subunit causes complete loss of NR2B receptors in the synaptic pool (Barria and Malinow, 2002; Mohrmann et al., 2002; Prybylowski et al., 2005). Truncation of the C-terminus of the NR2A subunit reduces NMDA evoked and mEPSC-mediated currents, with no change in the extrasynaptic NMDA current (Sprengel

et al., 1998; Steigerwald et al., 2000); however, mutations which are known to prevent the interaction of NR2A with PDZ-scaffolding proteins has no effect on synaptic currents (Prybylowski et al., 2005; Thomas et al., 2006). An explanation for these contradicting results has yet to be elucidated, and further investigations are warranted.

### **Long-term potentiation**

In *The Organization of Behavior* (1949), Donald Hebb hypothesized that the organization and activity of neurons may serve as a cellular mechanism of memory storage (Hebb, 1949). The first experiment proving the Hebbian model was conducted by Bliss and Lomo in 1973, where they coined the term long-term potentiation (LTP) (Bliss and Lomo, 1973b). The storage of information in the brain is mediated by activity-dependent changes in synaptic efficacy in a process known as synaptic plasticity (Bliss et al., 2003). Although proof that LTP is necessary and sufficient for learning and memory is lacking, several reports have demonstrated the occurrence of LTP *in vivo* following behavioral learning and memory tasks (Martin et al., 2000; Whitlock et al., 2006).

The process of LTP is composed of two phases: induction or early LTP (E-LTP) and maintenance or late LTP (L-LTP). These phase correspond to behavioral memory, which also has two components: short-term memory and long-term memory (Lynch, 2004). During LTP induction, glutamate is released from the presynaptic terminal and activates AMPARs and NMDARs on the postsynaptic terminal. Depolarization of the membrane causes the release of the NMDA-Mg<sup>2+</sup> blockade, which activates NMDARs allowing influx of Na<sup>+</sup> and Ca<sup>2+</sup> into the dendritic spine. Increasing Ca<sup>2+</sup> concentration in the dendritic spine activates calcium/calmodulin-dependent protein kinase II (CaMKII)

(Malenka, 1994). Several signaling pathways are involved in LTP induction including, cAMP-dependent protein kinase (PKA) (Esteban et al., 2003), protein kinase C (PKC) (Boehm et al., 2006), extracellular signal-regulated kinase 1/2 (ERK 1/2) in the mitogen-activated protein kinase (MAPK) cascade (Atkins et al., 1998), phosphatidylinositol 3-kinase (PI3-kinase) (Opazo et al., 2003) and tyrosine kinase Src (Hayashi and Huganir, 2004). The maintenance phase of LTP is also known as the protein synthesis-dependent phase. Proteins synthesized during the maintenance of LTP include AMPAR subunits, transcriptional factors and cytoskeletal components involved in morphological enhancement of dendritic spines (Matus, 2000; Fukazawa et al., 2003).

Changes in synaptic strength is governed by the precise timing of presynaptic release in combination with the firing of an action potential in the postsynaptic membrane in a process known as spike-timing-dependent plasticity (Sjostrom and Nelson, 2002; Dan and Poo, 2004). This timing is essential for the activation of NMDARs on the postsynaptic membrane, which require both the binding of glutamate in concert with membrane depolarization (Markram et al., 1997). Calcium influx into the postsynaptic terminal is essential for the expression of both long-term potentiation (LTP) and long-term depression (LTD). It appears that the amount and kinetics of calcium influx largely determines the direction of plasticity, with large and rapid influxes contributing to LTP and smaller prolonged influxes leading to LTD (Sjostrom and Nelson, 2002). These small yet precise changes in calcium influx are primarily responsible for activating the downstream signaling events that lead to LTP and LTD. Over the past two decades, most investigators have focused their attention on several postsynaptic processes that may

underlie synaptic plasticity including the regulation of AMPARs (Malinow and Malenka, 2002), regulation of the actin-cytoskeleton (Matus, 2000), regulation of protein synthesis (Steward and Schuman, 2003), and regulation of gene expression (West et al., 2002).

Synaptic strength can be enhanced by increasing the number of AMPARs on the postsynaptic membrane, as well as by enhancing the functional properties of each individual receptor. Moreover, the composition of these receptors has a profound influence on synaptic efficacy. Phosphorylation of Ser831 by CaMKII and PKC or by PKA at Ser831 on the intracellular C-terminus of GluR1 significantly increases the single-channel conductance (Roche et al., 1996; Derkach et al., 1999). The phosphorylation of these sites on the calcium-permeable GluR1 AMPAR subunit has been identified as essential for the normal expression of LTP and memory formation (Lee et al., 2003).

### **Insulin and the Brain**

Historically, the brain was believed to be an insulin-insensitive tissue. However, this view has been recently disproved by evidence from behavioral, biochemical, cellular, and molecular studies demonstrating that insulin is present in certain regions of the CNS. Moreover, insulin has been shown to be an important neuromodulator and its dysfunction has the ability to affect pathophysiology in disease of neurodegeneration (Park, 2001; Schulingkamp, 2000; Schwartz et al., 2000; Woods et al., 2000).

### *Insulin Signaling Pathway*

The primary function of insulin is the regulation of energy homeostasis. Insulin is able to induce a wide range of downstream signaling cascades through the activation of the insulin receptor (IR) (Saltiel and Kahn, 2001). The insulin receptor is a receptor tyrosine kinases (RTKs), as is its closely related Insulin-like Growth Hormone (IGF) receptor. The downstream effects of insulin are mediated by the activation/deactivation of various signaling pathways including the Insulin Receptor Substrates (IRS), the Src family homologs (SHC), Phosphatidylinositol 3-Kinase (PI3K), GTPases like Rac1 and various kinases including Akt, integrin-linked kinase (ILK) and GSK-3 $\beta$  (inactivated). Upon activation of the insulin receptor tyrosine-phosphorylated IRS is activated and is capable of binding to numerous signaling molecules the most notable of these is PI3K, which has a major role in insulin functions. Figure 2-3 illustrates an overview of the insulin signaling cascade.

### *Insulin Signaling and Synaptic Physiology*

Pathways important in the regulation of synaptic plasticity and memory formation overlap with the insulin signaling pathway; therefore, it is not surprising that insulin signaling has profound effects on information storage and synaptic physiology. Elucidating the specific involvement of insulin signaling in these processes has been difficult, however, due to its effect on glucose and the differences between brain-derived insulin and pancreatic insulin. Parenteral administration of insulin has dramatic effects on memory performance, which complicates any investigation on the role of central insulin on synaptic plasticity. For these reasons it was initially assumed that insulin's

effect on memory was essentially through the regulation of glucose utilization in neurons. However, this position has been challenged by studies demonstrating a role for insulin in synaptic function that is independent of glucose regulation (Benedict et al., 2007; Hallschmid et al., 2007). However, insulin receptor heterozygous knockout mice have been shown to have impairments in spatial memory performance (Das et al., 2005).

MAP kinase activity is regulated in part by the insulin signaling pathway. Phosphorylation of the insulin receptor substrate (IRS) proteins Shc and Gab1 leads to the activation of Ras, a small GTP-binding protein, which activates mitogen-activated protein kinase kinase I (MEK) and p44/42-MAPK, also known as Erk1/2 (Taniguchi et al., 2006). Erk1/2 activation is also an essential mediator of long-term potentiation during memory formation (Impey et al., 1999; Adams and Sweatt, 2002). Downstream effectors of Erk1/2 include transcription factors (Platenik et al., 2000; West et al., 2001), which direct the transcription of immediate early genes (IEGs) during LTP induction, and regulators of AMPA receptor trafficking (Zhu et al., 2002). Furthermore, the activity dependent induction of LTP is associated with an increase and enlargement of the dendritic spine head (Engert and Bonhoeffer, 1999; Toni et al., 1999; Yang et al., 2008), which is dependent on BDNF-mediated Erk1/2 activation (Alonso et al., 2004). However, activation of Erk1/2 has also been shown to mediate amyloid- $\beta$  toxicity, and is overactivated in the Alzheimer's brain (Khan and Alkon, 2006). Therefore, further investigation into the regulation and downstream effects of Erk1/2 are necessary to understand its value as a therapeutic target in neurological disease.



Activation of IRs and IGF-1 receptors results in downstream activation of the phosphatidylinositide 3 kinase (PI3)/Akt kinase (Akt) pathway (Johnston et al., 2003; Johnson-Farley et al., 2007), an important signaling pathway for synaptic plasticity (Sui et al., 2008). Akt inactivates glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ) by phosphorylating it at its serine-9 residue (Cross et al., 1995). GSK-3 $\beta$  has essential roles in several cellular functions including proliferation, differentiation and cell adhesion (Frame and Cohen, 2001). Moreover, GSK-3 $\beta$  has been implicated in a variety of diseases including diabetes (Frame and Cohen, 2001), Alzheimer's disease (Anderton, 1999; Grimes and Jope, 2001; Alvarez et al., 2002; Bhat et al., 2004), schizophrenia (Beasley et al., 2001; Kozlovsky et al., 2002) and bipolar disorder (Grimes and Jope, 2001). GSK-3 $\beta$  has been shown to phosphorylate both the presenilin-1 and tau proteins, implicating its role in the pathophysiology of Alzheimer's disease (Hanger et al., 1992; Kirschenbaum et al., 2001; Avila, 2004).

Recently, a direct role for GSK-3 $\beta$  has been elucidated by Peineau and colleagues, who report that GSK-3 $\beta$  activation is a necessary requirement for the induction of long-term depression (LTD) (Peineau et al., 2007). Furthermore, two independent investigations have demonstrated that GSK-3 $\beta$  is inhibited during the induction of LTP (Hooper et al., 2007; Peineau et al., 2007). These studies report that during LTP induction, PI3K/Akt activation occurs resulting in the phosphorylation/inactivation of GSK-3 $\beta$ , which implicates this kinase as a critical determinant of the direction of plasticity. The proposed model for how GSK-3 $\beta$  influences the direction of plasticity is shown in figure 2-4. One study also reported that

GSK-3 $\beta$  is directly complexed with GluR1/2 AMPA receptor subunits; however, the significance of this association has not been fully elucidated (Peineau et al., 2007).

Akt and GSK-3 $\beta$  are regulated by PI3K and PTEN (phosphatase and tensin homolog) respectively (Jiang et al., 2005); however, the only upstream molecule that can directly regulate the activity of both Akt and GSK-3 $\beta$  is integrin-linked kinase (ILK) (Naska et al., 2006). ILK is a  $\beta$ 1-integrin cytoplasmic domain binding protein (Hannigan et al., 1996). The current understanding of the ILK-GSK-3 $\beta$  pathway in synaptic physiology is limited to its key role in regulating dendrite formation in developing hippocampal neurons (Naska et al., 2006; Guo et al., 2007). Although the interaction between insulin signaling and integrins has been established (Wang et al., 2007), the effect of a dysfunctional insulin-signaling pathway on ILK has not been investigated.

#### *Peroxisome Proliferator Activated Receptors*

Peroxisome proliferator activated receptors (PPARs) are ligand-modulated nuclear transcription factors, with three main subtypes  $\alpha$ ,  $\gamma$ , and  $\delta$ . Following activation, primarily by long-chain fatty acids, they associate with peroxisome proliferating response elements (PPREs) which results in the modulation of gene transcription for multiple genes. The tissue distribution, ligand specificity and downstream effectors vary with each subtype.

GW501516 is an investigational drug in phase II clinical trials for the treatment of dyslipidemia in humans. The structure of GW501516 is shown in figure 2-5. In insulin-resistant obese rhesus monkeys, GW501516 treatment resulted in increased HDL and decreased LDL-cholesterol and triglyceride levels. Additionally, this compound

improved insulin sensitivity and lowered fasting plasma insulin levels (Oliver et al., 2001). A recent study reports increased angiogenesis and cardiomyocyte enlargement only five hours following a single dose of GW501516 (Wagner et al., 2009).

### **Alzheimer's Disease**

Dementia is defined as a state of cognitive decline substantial enough to interfere with a patient's daily function. The Diagnostic and Statistical Manual, 4th edition, text revision (DSM-IV-TR) requires that memory deficits greater than what would occur in normal aging must be present in addition to the impairment of one or more other cognitive facilities including attention, language, spatial orientation, and problem solving (American Psychiatric Association. and American Psychiatric Association. Task Force on DSM-IV., 2000). Alzheimer's disease (AD) is a progressive neurodegenerative disorder characterized by memory loss, particularly short-term, and impairments in other cognitive functions leading to alterations in mood, reasoning abilities, judgment and language. The major risk factor for dementia is age, and this risk increases four fold with each decade of life after the age of sixty-five (Brookmeyer et al., 1998). Most forms of AD are sporadic with the average age of onset around seventy years old and a median survival rate between five and ten years following diagnosis (Helzner et al., 2008). Around five percent of all cases are the familial autosomal-dominant form. This type is characterized by early onset in patients typically less than 50 years of age. Three gene mutations have been identified that contribute to familial AD, all of which result in abnormal production of the amyloid- $\beta$  peptide, the principle component of senile plaques. These genes include mutations in the genes coding for either the amyloid precursor protein or for the proteins

associated with the catalytic site of the  $\gamma$ -secretase complex: presenilin 1 (PSEN1) and presenilin 2 (PSEN2) (Goate et al., 1991; Levy-Lahad et al., 1995; Rogaev et al., 1995; Sherrington et al., 1995; Selkoe and Podlisny, 2002). The cellular and molecular mechanisms involved in the pathogenesis of AD remain unclear; however, there are two pathological hallmarks of Alzheimer's disease, which are the intracellular neurofibrillary tangles and the extracellular senile plaques composed of tau protein and amyloid- $\beta$  peptide respectively.

### *Amyloid Hypothesis*

The Amyloid- $\beta$  peptide ( $A\beta$ ) is the product of the proteolytic cleavage of the amyloid precursor protein, and is the primary constituent of senile plaques which are hallmark to AD pathology (Masters et al., 1985). Amyloid precursor protein (APP), a transmembrane glycoprotein (Kang et al., 1987), is enzymatically processed which results in the production of various peptide fragments as shown in figure 2-6 (De Strooper and Annaert, 2000). APP is first cleaved by one of two proteolytic enzymes:  $\alpha$ -secretase in an alternative non-amyloidogenic pathway, and  $\beta$ -secretase (Vassar, 2004), producing the soluble extracellular fragments,  $\alpha$ -APP and  $\beta$ -APP and three transmembrane C-terminal fragments (Simons et al., 1996). Following this proteolysis, the remaining fragment can be further processed by  $\gamma$ -secretase to generate amyloidogenic  $A\beta$  peptides ranging from thirty-eight to forty-three residues in length (Simons et al., 1996). Ninety percent of the  $A\beta$  generated ends at residue forty ( $A\beta_{40}$ ), with the majority of the remaining ten percent composed of the forty-two length species ( $A\beta_{42}$ ) (Selkoe and Wolfe, 2007).

Insoluble  $A\beta_{42}$  is markedly increased in the Alzheimer's disease brain; nonetheless, Alzheimer's patients consistently exhibit decreased levels of soluble  $A\beta_{42}$  in the CSF (Andreasen and Blennow, 2002), which is thought to reflect the increased deposition and decreased clearance in the brain (Wang et al., 1999). Observations that presenilin mutations consistently result in increased production of  $A\beta_{42}$  strengthen the hypothesis that  $A\beta_{42}$  is the primary species responsible for the pathogenesis of AD. (Borchelt et al., 1996; Ishii et al., 1997; Wisniewski et al., 1998).  $A\beta_{42}$  polymerizes more readily, which may account for the increased toxicity compared to  $A\beta_{40}$  (Jarrett et al., 1993b, a; Jarrett and Lansbury, 1993; Snyder et al., 1994). At normal concentrations  $A\beta$  is a soluble protein; however, in AD brains, increased concentrations of  $A\beta$  leads to peptide aggregation and the formation of less soluble forms such as oligomers, protofibrils and fibrils (Bitan et al., 2003).

### *Tau Hypothesis*

The microtubule-associated tau protein is abnormally hyperphosphorylated in the AD brain and is the main component of the paired helical filaments (PHFs), which make up the characteristic neurofibrillary tangles (NFTs) in AD pathology (Grundke-Iqbal et al., 1986b; Grundke-Iqbal et al., 1986a; Iqbal et al., 1986). The normal physiological role of tau is to promote the formation of the tubulin-microtubule assembly (Goedert et al., 1991). In contrast to the  $A\beta$ -composed senile plaques, which appear relatively early in the extracellular space, NFTs are intracellular and are not seen until late in the disease state (Goedert et al., 1989a). Levels of the hyperphosphorylated tau can be detected in the cytosol before accumulation into PHFs occurs (Iqbal et al., 1986).

There are six isoforms of tau in the adult mammalian brain (Goedert et al., 1989b). In AD, all six isoforms of tau are hyperphosphorylated at over thirty serine/threonine residues (Morishima-Kawashima et al., 1995) by several kinases including glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ), mitogen activated protein kinase (MAPK) ERK 1/2, cyclin dependent protein kinase (cdk5), and calmodulin-dependent protein kinase-II (CamKII) (Pei et al., 2002b; Pei et al., 2002a). Tau hyperphosphorylation may result in a loss of function modification, which may further contribute to the deficits in neuronal function.

#### *Insulin Signaling and Alzheimer's Disease*

Epidemiological studies provide strong evidence that there may be a link between AD and insulin dysfunction (Leibson et al., 1997; Arvanitakis et al., 2004; Janson et al., 2004; Luchsinger et al., 2004; Kulstad et al., 2006); furthermore, recent research indicates that insulin may have a role in normal cognitive function, particularly in the hippocampus, a structure involved in memory encoding. It is clear that learning and memory deficits are present in both type 1 and type 2 diabetes (Perlmutter et al., 1984; Gispen and Biessels, 2000), and that insulin dysfunction is associated with neurodegenerative pathology (Craft and Watson, 2004; Luchsinger et al., 2004; Watson and Craft, 2004). Conversely, insulin has demonstrated memory-enhancing effects in both human and animal trials (Kern et al., 1999; Park et al., 2000). Due to its effect on circulating glucose levels, there are, however, several caveats to studying insulin's role in synaptic physiology and AD. Additionally, insulin transport across the blood brain

barrier may be impaired in AD patients, further complicating the issue (Kaiyala et al., 2000; Kulstad et al., 2006; Persidsky et al., 2006).

Insulin receptors are present at the synapses in the brain (Abbott et al., 1999) and are highly expressed in areas of rich synaptic density in the cortex and hippocampus (Werther et al., 1987), suggesting a relationship between insulin signaling and synaptic plasticity. Moreover, insulin receptor expression is upregulated in the rat hippocampus during behavioral tasks such as the Morris-Water Maze (Zhao et al., 1999). Insulin is involved in synaptic functions of memory and has been reported to influence neurotransmitter release (Bhattacharya and Saraswati, 1991; Figlewicz and Szot, 1991), as well as modulate the trafficking and expression of GABA<sub>A</sub>, NMDA and AMPA receptors (Wan et al., 1997; Beattie et al., 2000; Lin et al., 2000; Kim and Han, 2005). Furthermore, Zhao et al. reported that insulin receptor surface expression is down regulated in AD brains (Zhao et al., 2008).

It has been demonstrated previously that abnormalities in insulin signaling can lead to increased concentrations of amyloid  $\beta$  (Hoyer, 1996; Boyt et al., 2000; Hoyer, 2004); furthermore insulin has the ability to protect cultured hippocampal neurons against A $\beta$  cytotoxicity (Takadera et al., 1993). The exact mechanism by which insulin interacts with A $\beta$  is unknown; however, previous reports indicate that A $\beta$  can compete with insulin for binding to insulin receptors, consequently causing a decline in insulin-mediated signaling (Xie et al., 2002). Oligomerized forms of A $\beta$  are the primary component of senile plaque in the intermediate stage; furthermore, these peptides have detrimental effects on the synaptic and molecular events associated with memory (Walsh

et al., 2002). In a recent study, it was demonstrated that insulin could prevent A $\beta$  oligomerization; moreover, this same study reported that in addition to preventing the formation of oligomers, insulin was able to prevent the block of LTP induced by A $\beta$  (Haleem et al., 2007).

Given the numerous effects of insulin on the CNS, it is not surprising that insulin dysfunction is present in AD, nor is it unexpected that insulin treatment can improve cognition in Alzheimer's patients (Simpson et al., 1994; Dore et al., 1997; Dore et al., 1999; Beattie et al., 2000; Watson and Craft, 2003; Jimenez Del Rio and Velez-Pardo, 2006; Xing et al., 2007). The potential benefit of insulin in ameliorating the pathogenesis of AD highlights the importance of stabilizing insulin signaling in the Alzheimer's brain.

### **Intracerebroventricular Streptozotocin Model of Central Insulin Resistance**

An experimental rat model for central insulin resistance can be produced by administering intracerebroventricular streptozotocin (ic-STZ) (Plaschke and Hoyer, 1993; Duelli et al., 1994; Lannert and Hoyer, 1998; de la Monte et al., 2006; Lester-Coll et al., 2006). STZ is a nitrosurea alkylating agent that has been used intravenously for the induction of experimental type 1 diabetes. Following systemic injection, STZ is selectively cytotoxic to insulin secreting cells, mainly pancreatic beta cells. Beta cells are essentially the only type of peripheral cell expressing the glucose transporter 2 (GLUT2), which STZ requires for cell entry, thus, limiting the effect of STZ to these cells. Until recently the DNA alkylating activity of STZ was presumed to mediate the diabetogenic effect; however, more recent efforts have suggested that protein glycosylation by STZ may play an integral role (Roos et al., 1998; Konrad and Kudlow, 2002; Konrad et al.,



2002). The specific modification involves an impairment of intracellular secretory vesicle trafficking in pancreatic beta cells (Konrad and Kudlow, 2002). Moreover, other studies have demonstrated that STZ causes poly(ADP-ribosylation), which may play a role in the early effects of STZ in beta cell function (Strandell et al., 1989). Collectively these reports provide evidence for multiple biological actions of STZ, which may explain the observation that low doses of STZ causes insulin resistance by reducing IR autophosphorylation while leaving pancreatic beta cell function intact (Blondel et al., 1989a, b; Blondel and Portha, 1989; Portha et al., 1989).

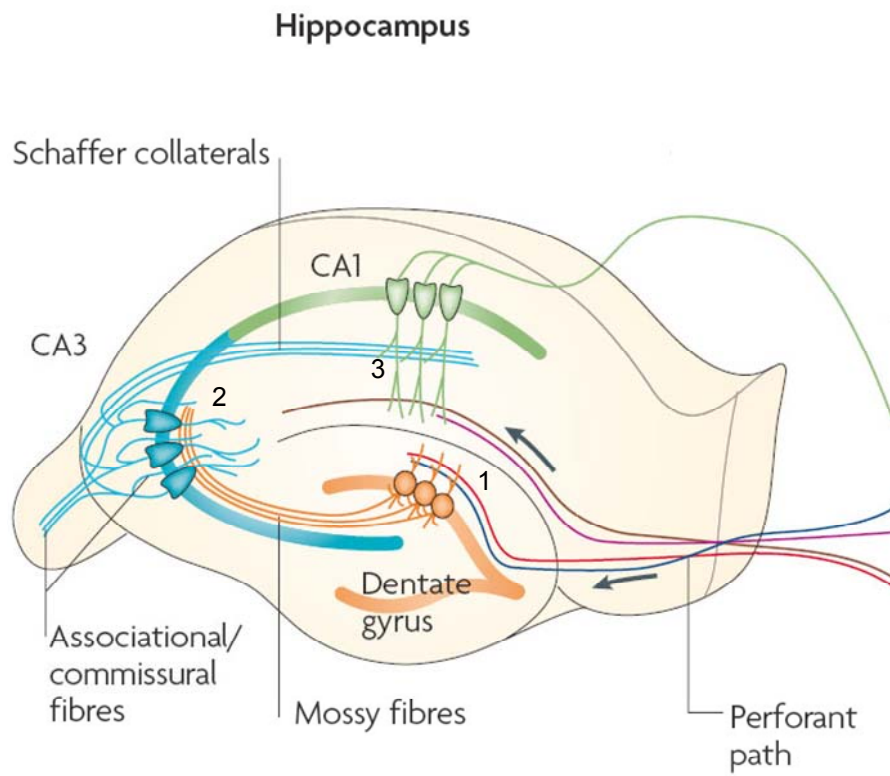
Yet another effect of STZ is seen when administered in low doses intracerebroventricularly (ic). This administration of ic-STZ does not cause systemic diabetes (Nitsch and Hoyer, 1991; Duelli et al., 1994; Lannert and Hoyer, 1998), instead ic-STZ causes central insulin resistance due to alterations in the insulin receptor affinity and expression (Blokland and Jolles, 1994; Hoyer et al., 1994; Grunblatt et al., 2004; Grunblatt et al., 2006; Lester-Coll et al., 2006). Furthermore, the observed changes that result in the ic-STZ brain exhibit great similarity to abnormalities found in the Alzheimer's brain. Significant downregulation of insulin, IR, IGF-1 and IGF-1 receptor mRNA levels are present in both the ic-STZ brain as well as the AD brain (Lester-Coll et al., 2006). Alterations in brain glucose metabolism, inflammation and oxidative stress are among the more extensively investigated properties in the ic-STZ. Additionally, previous work has shown that accumulation of amyloid- $\beta$  and hyperphosphorylated tau proteins is correlated with central insulin resistance in these animals (Salkovic-Petrisic et al., 2006; Grunblatt et al., 2007; Planel et al., 2007; Salkovic-Petrisic and Hoyer, 2007).

Another remarkable finding is that these animals express an upregulation of the amyloid precursor protein (APP) gene, which also occurs in sporadic AD (Lester-Coll et al., 2006). Together these studies suggest that central insulin resistance, which is known to be present in ic-STZ animals and AD patients, may precipitate AD pathogenesis (Grunblatt et al., 2004; Rivera et al., 2005; Steen et al., 2005).

Multiple investigators have reported long lasting disturbances in learning and memory in ic-STZ animals (Mayer et al., 1990; Lannert and Hoyer, 1998; Salkovic-Petrisic et al., 2006); however, glutamatergic synaptic transmission and synaptic plasticity has not been previously investigated in the ic-STZ animal model.

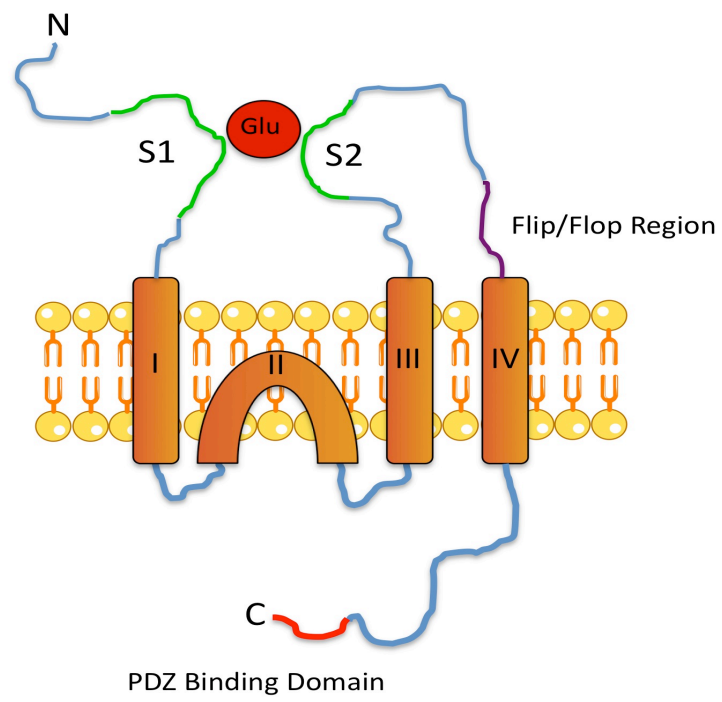
**Figure 2-1. Anatomy of the hippocampus.** (Neves et al., 2008). The hippocampus is subdivided into four subregions called Cornu Ammonis (CA) areas, which are the CA4, CA3, CA2 and CA1. Just adjacent to the CA is the dentate gyrus (DG), which is divided into the fascia dentata and the hilus. The neurons in the CA4 region do not have pyramidal morphology like those in the other CA subregions, for this reason many neuroanatomists do not recognize the CA4 as a separate region, but instead consider it as part of the hilus. The hippocampus receives neocortical input primarily through layer II of the entorhinal cortex, which projects onto granule cell dendrites of the fascia dentata via perforant pathway axons. Axons of the granule cells make up the mossy fiber pathway, which project to the hilus and CA3 region. In addition to the mossy fibers, the CA3 receives input from the contralateral hippocampus through the commissural pathway. The majority of axons from CA3 pyramidal cells make up the Schaffer collateral pathway which inputs onto pyramidal neurons of the CA1; however, some send fibers back to the hilus and others may also form recurrent connections terminating within the CA3. The small region between the CA3 and CA1 is the CA2, which receives input from layer II of the entorhinal cortex via perforant path fibers. The pyramidal cells of the CA1 send axons to layer V of the entorhinal cortex and forward to the subiculum, which closes the hippocampal-processing loop, which begins in layer II of the entorhinal cortex, and ends in layer V.

Figure 2-1.



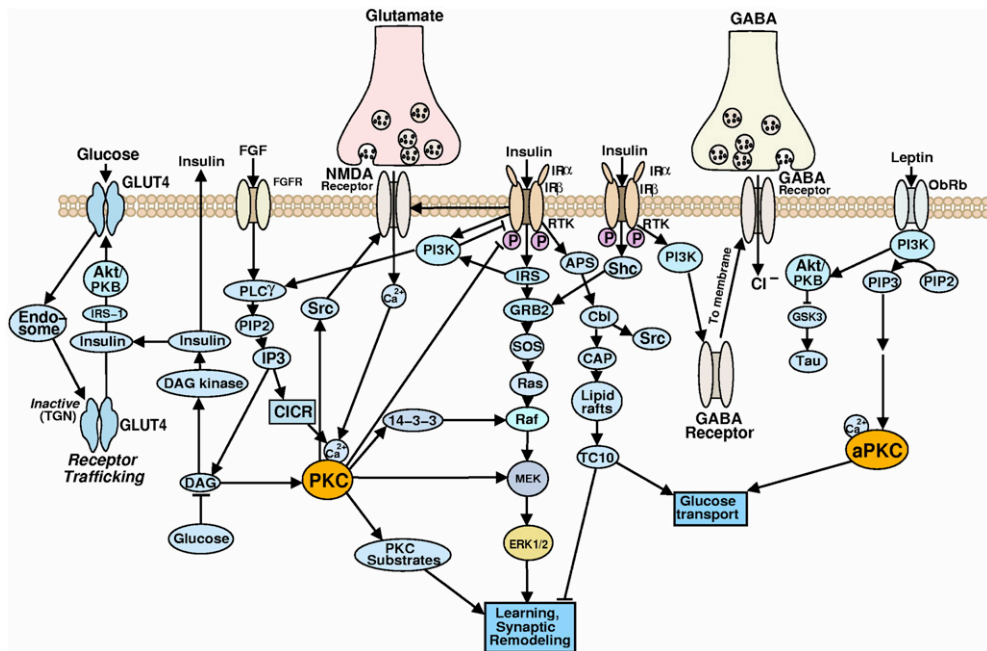
**Figure 2-2. General Structure of an AMPA Receptor Subunit.** The extracellular N-terminus and intracellular C-terminus is unique for transmembrane proteins. Alternative splicing of the second extracellular domain can occur and is designated as either “flip” or “flop.” These variations in splicing have a profound effect on the ligand-binding and kinetic properties of the AMPA receptor. Additionally, Q/R site editing occurs in nearly all GluR2 mRNA transcripts, which underlies the altered calcium permeability of this subunit.

Figure 2-2.



**Figure 2-3.** Insulin signaling pathway (Nelson et al., 2008). The downstream effects of insulin are mediated by the activation/deactivation of various signaling pathways including the Insulin Receptor Substrates (IRS), the Src family homologs (SHC), Phosphatidylinositol 3-Kinase (PI3K), GTPases like Rac1 and various kinases including Akt, integrin-linked kinase (ILK) and GSK-3 $\beta$  (inactivated). Upon activation of the insulin receptor tyrosine-phosphorylated IRS is activated and is capable of binding to numerous signaling molecules the most notable of these is PI3K, which has a major role in insulin functions.

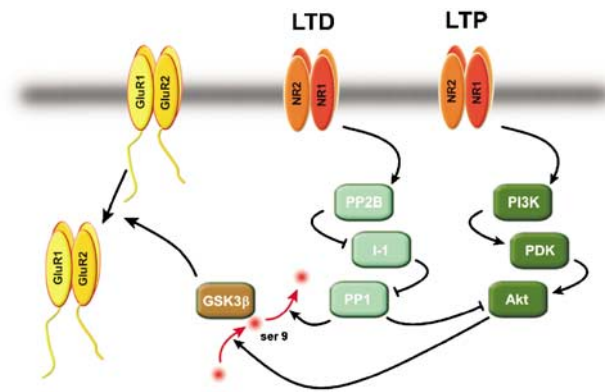
Figure 2-3.





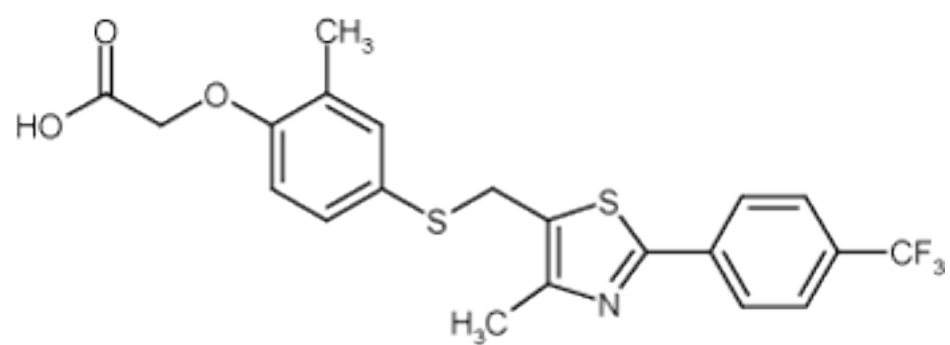
**Figure 2-4.** Possible mechanism for the role of GSK-3 $\beta$  in synaptic plasticity (Peineau et al., 2008).

Figure 2-4.



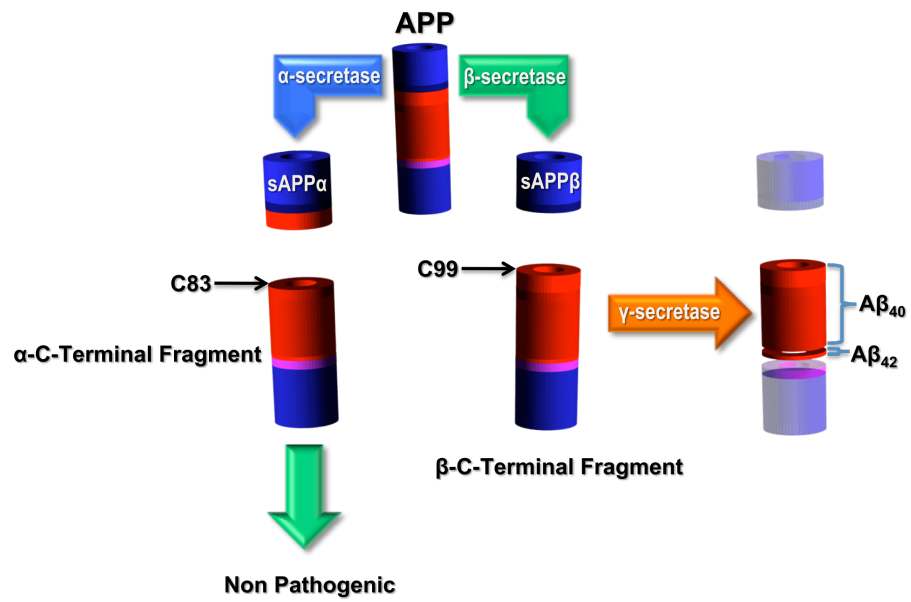
**Figure 2-5.** Chemical structure of GW501516

Figure 2-5.



**Figure 2-6. Amyloid Precursor Protein (APP) Processing.** APP matures through the central secretory pathway, and both during and after its trafficking through this pathway. APP can undergo proteolysis by  $\alpha$ -secretase cleavage in an alternative non-amyloidogenic pathway generating the soluble ectodomain fragment sAPP $\alpha$  and a membrane-retained C-terminal fragment of 83 amino acids. APP may also undergo  $\beta$ -secretase cleavage resulting in the release of a soluble APP $\beta$  ectodomain fragment. Following  $\beta$ -secretase cleavage,  $\gamma$ -secretase cleavage of the  $\beta$ -C-terminal fragment results in the production of either the forty or forty-two length A $\beta$  peptide (A $\beta$ <sub>40</sub> and A $\beta$ <sub>42</sub>).

Figure 2-6.



## **CHAPTER III:**

### **METHODS**

#### **Animals**

All procedures were approved by Auburn University Animal Care and Use Committee. Male Wistar rats (Charles River Laboratories, Wilmington, MA) were acquired at 12 weeks of age and randomly divided into 2 groups. The control group (C) received bilateral intracerebroventricular (ic) injections of 8 $\mu$ l per animal of artificial cerebrospinal fluid per ventricle (aCSF). The composition of aCSF was (mM) NaCl 119, KCl 2.5, MgSO<sub>4</sub> 1.3, CaCl<sub>2</sub> 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.0 and NaHCO<sub>3</sub> 26. The ic-STZ group (ic-STZ) received bilateral ic injections of 8 $\mu$ l per animal of Streptozotocin (STZ) (3mg/kg) dissolved in aCSF.

In a separate set of experiments, four control and eight ic-STZ animals were randomly selected. Four of the eight ic-STZ animals were given a single intraperitoneal (IP) injection of GW501516 dissolved in 2 ml of 20% PEG-300. The dose of 10 mg/kg was selected based on previously reported pharmacokinetic properties of this compound (Iwashita et al., 2006). The remaining four ic-STZ animals along with four control animals administered an equal volume IP sham injection of 20% PEG-300. Following administration of drug or vehicle, the animal was then placed back into its home cage for

three hours. After this three-hour period, the animal was anesthetized with CO<sub>2</sub>, decapitated and hippocampal slices were prepared from the brain as described below.

### **Surgical Procedure**

Anesthesia was induced using 4-5% isoflurane delivered through a closed circuit system with an oxygen flowrate of 1.0-1.5 L/min. Following the conformation of the appropriate plane of anesthesia, the head was then fixed in a stereotactic guidance apparatus, and anesthesia maintained using 2-3% isoflurane delivered through a nose cone. Bilateral burr holes were made in 1.0 mm posterior to the coronal suture, and 1.6 mm lateral to the sagittal suture. A 30-gauge needle was lowered 3.4 mm below the Dura into the left and right lateral ventricle using stereotactic guidance. STZ (3 mg/kg) or sham aCSF was infused over a period of 3 min per ventricle in a total volume of 4 µl per ventricle.

### **Hippocampal Slice Preparation**

A Male Wistar rat aged 16 weeks was placed in a closed chamber into which CO<sub>2</sub> was slowly released via until the animal reached an anesthetic state, after which it was quickly decapitated using a guillotine. A midline incision was made into the top of the head and the skin was pulled back to expose the skull. Following this, the skull was cut down the sagittal suture with small iris scissors and was peeled back using a pair of bone rongeurs. The brain was quickly removed by placing a small spatula under the frontal lobe and elevating the brain away from the base of the skull. The brain was then placed into a small beaker filled with dissection buffer consisting of (in mM): NaCl 85, KCl 2.5,



MgSO<sub>4</sub> 4.0, CaCl<sub>2</sub> 0.5, NaH<sub>2</sub>PO<sub>4</sub> 1.25, NaHCO<sub>3</sub> 25, glucose 25, sucrose 75, kynurenic acid 2.0, ascorbate 0.5, saturated with 95% O<sub>2</sub>/5% CO<sub>2</sub> at a temperature of 0°C. Time for this step was minimized to 1-1.5 minutes. The cerebellum was dissected away and the brain was placed to the vibratome chamber filled with ice-cold dissection buffer bubbled with 95%O<sub>2</sub> 5%CO<sub>2</sub>. The brain was held in place with cyanoacrylate glue, and was placed with the frontal lobe facing up and the basal aspect of the brain resting against a block of 10% agar. The brain was then cut into hemispheres and the two hemispheres were simultaneously cut into coronal slices of 400 µm thickness. These slices were then placed in a slice incubation chamber for thirty minutes at 30°C in artificial cerebrospinal fluid (aCSF) of the following composition (mM): NaCl 119, KCl 2.5, MgSO<sub>4</sub> 1.3, CaCl<sub>2</sub> 2.5, NaH<sub>2</sub>PO<sub>4</sub> 1.0, NaHCO<sub>3</sub> 26, dextrose 11.0 and saturated with 95% O<sub>2</sub> and 5%CO<sub>2</sub>. After thirty minutes, they were transferred to aCSF with a temperature of 24°C where they remained for at least another thirty minutes before being used for electrophysiological recordings.

The slice incubation chamber consisted of a round plastic vessel (7 cm in diameter and 6 cm in height) placed inside a glass crystallizing dish (10 cm in diameter and 8 cm in height). The slices were placed at the bottom of the cylinder that which was made of nylon stockings stretched and glued across the edges of the plastic vessel. The dish was filled with aCSF, and an aquarium stone was placed at the bottom of the vessel to provide the 95% O<sub>2</sub> and 5%CO<sub>2</sub>.

## **Extracellular Field Recordings**

A slice with containing an anterior portion of the hippocampus was transferred to a submersion recording chamber using a paintbrush. The recording chamber was continuously superfused at 2 ml per minute with aCSF saturated with 95%O<sub>2</sub> and 5% CO<sub>2</sub>. A ring with silk strings stretched across was placed on top of the slice in such a way that the mesh did not touch the hippocampus. Healthy slices of good do not normally stick to the paintbrush and have a clearly visible stratum pyramidal and stratum granulosum. Recordings in CA3-CA1 synapses were performed by placing the stimulating and recording electrodes on surface of the slice approximately 400 μm apart from each other in the stratum radiatum where the Shaffer collateral fibers are located. All recordings were performed at 24°C. Field excitatory postsynaptic potentials (fEPSPs) were recorded from the CA1 with a glass electrode with a resistance of 1-4 MΩ filled with aCSF. Test pulses were delivered by a constant current stimulus isolator driven by input signals from the computer. The stimulus electrode was a platinum bipolar electrode. Stimulation (50-70 μA, 0.2 ms duration) was applied to CA3 axons every 20 seconds and the recording and stimulation electrodes were slowly advanced towards the slice until a the maximal amplitude of responses was attained. After ten to fifteen minutes of stable responses, the stimulus-response relation was recorded in response to stimulation pulses (0.2 ms, every 20 s) of increasing intensity by varying stimulus intensity between 0 μA to 200 μA in steps of 50 μA and measuring the slope of the fEPSPs as well as the fiber volley. Field EPSPs were recorded until a population spike (upward going inflection) appeared on the decaying phase of fEPSPs. Typically, the

amplitude of supramaximal fEPSPs was greater than one mV and the amplitude of presynaptic volleys were less than one third that of the fEPSPs.

Field EPSPs were elicited every twenty seconds for at least ten minutes with the stimulus intensity that elicited fEPSPs with a slope of 40% of the supramaximal. Obtaining stable responses of fEPSPs during the baseline period is of utmost importance to insure that the correct stimulus intensity is used. Following stable baseline recordings of at least ten minutes, a high frequency stimulus (HFS) was applied using the test pulse stimulus intensity. The HFS protocol for inducing LTP consisted of three trains of 100 pulses (100 Hz), with an inter-train interval of 20 seconds. LTP was measured as the percentage of the baseline fEPSP slope. During the 20 minute baseline and 1 hour following the tetanus, fEPSP peak amplitude and slope were analyzed online using WinLTP acquisition software (Anderson and Collingridge, 2007). The data is presented as mean  $\pm$  SEM. Significance was determined using a two-tailed Student's t-test.

Paired-pulse facilitation (PPF) was evaluated by stimulating the synapses with twin pulses at interpulse intervals of 40, 60, 80, 150, and 200 ms. fEPSPs, were recorded at 40% of maximal response, as determined from an input-output curve for each experiment. Following stable baseline recordings of at least 20 minutes, a high frequency stimulus (HFS) was applied using the test pulse stimulus intensity. The HFS protocol for inducing LTP consisted of three trains of 100 pulses (100 Hz), with an inter-train interval of 20 seconds. LTP was measured as the percentage of the baseline fEPSP slope. During the 20 minute baseline and 1 hour following the tetanus, fEPSP peak amplitude and slope

were analyzed online using WinLTP acquisition software (Anderson and Collingridge, 2007).

It is important to compare baseline fEPSPs to responses after induction of LTP. If the slice is healthy and recording conditions are ideal the stimulus artifact and the amplitude of presynaptic volley should be identical prior to the application of the high-frequency stimulation and at the end of the recording. It is important to select appropriate parameters for slope measurements so that linear changes in the slope of the fEPSPs would be detectable for all stimulation intensities used to estimate the stimulus-response curve. In addition to the plot of stimulus intensity versus fEPSP slope, the presynaptic fiber volley is plotted as a function of stimulus intensity and of fEPSP slope. This function provides analysis of the number of activated axons (presynaptic volleys) and resulting fEPSPs. It is important to keep recording and stimulating electrode at a consistent and sufficiently long distance, as the presynaptic fiber volley amplitude is directly proportional to this distance. The mean magnitude of fEPSPs recorded during the baseline (at least 10 min before TBS) is taken as 100 % and changes are expressed relative to this as a means of normalizing the responses amongst multiple recordings from multiple slices. The values of LTP are calculated as the relative increase in the mean slopes of averaged fEPSPs measured at 50-60 minutes after induction of LTP. The data is presented as mean  $\pm$  SEM. Significance was determined using a two-tailed Student's t-test.

## Whole Cell Patch Clamp Recordings

After at least a one hour recovery period the slices were transferred to a submersion chamber where they were continuously superfused (~2 ml/min) with aCSF (24°C) saturated with 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Slices were visualized with Nomarski differential interference contrast optics with an Olympus BX51-WI upright microscope (Olympus, USA) equipped with a 40X water immersion objective lens. AMPA receptor mediated, action potential independent, mEPSCs were isolated from CA1 pyramidal neurons in the presence of 1 mM tetrodotoxin (TTX), 50 mM aminophosphonopentanoic acid (APV) and 30 mM bicuculline methiodide (BMI) using the whole-cell voltage-clamp technique.

Patch pipettes (1-5 MΩ) were pulled from borosilicate capillaries (nonfilamented, 1.5 mm O.D.; World Precision Instruments, Sarasota, FL) on a Sutter P-2000 Puller (Sutter Instruments, Novato, CA). The pipette was filled with a solution containing (in mM): 122.5 Cs-gluconate, 10 HEPES, 1.0 EGTA, 20 KCl, 2.0 MgCl<sub>2</sub>, 2.0 Na<sub>2</sub>·ATP, 2 QX-314, 0.25 Na<sub>3</sub>·GTP·3H<sub>2</sub>O, pH 7.3 (adjusted with KOH), 280–290 mOsm. GTP was included in the pipette solution to conserve G-protein mediated responses and ATP was added to prevent rundown of calcium channels and supply energy for other intracellular phosphorylation reactions. Cs<sup>+</sup> was included to eliminate K<sup>+</sup> currents.

The neurons were voltage-clamped ( $V_h = -70$  mV) in continuous mode (cSEVC) using an Axopatch 200B amplifier (Axon Instruments Inc., Foster City, CA). Current output was low-pass filtered (10 kHz), DC-offset and amplified 10000-fold. The signal was continuously acquired on-line (Clampfit 9 Software, Axon Instruments Inc.), and

digitized (Digidata 1200, Axon). Baseline mEPSC activity was recorded in each neuron for at least 5 min. Recorded events  $\geq 3$  pA, with faster rise than decay were detected using pClamp analysis software (Molecular Devices, Sunnyvale, CA). Peak mEPSC and sEPSC amplitudes were measured from the baseline. Decay kinetics, mEPSCs and sEPSCs amplitude were also estimated using a single exponential function. Data were compared statistically by either the Student's t-test, or the Kolmogorov-Smirnov test. Results are presented as mean  $\pm$  S.D.

### **Synaptoneurosome Preparation**

Isolation of synaptosomes was carried out as described in (Johnson et al., 1997) with some modifications. Male Wistar rats 16 weeks old (4 weeks following ic-STZ or sham administration) were anesthetized with CO<sub>2</sub>, the brains were removed and placed in ice cold aCSF. The hippocampi were isolated and homogenized (10 strokes) in a potter homogenizer and in ice cold modified Krebs-Henseleit buffer (mKRBS) containing (in mM): NaCl 118.5, KCl 4.7, MgSO<sub>4</sub> 1.18, CaCl<sub>2</sub> 2.5, KH<sub>2</sub>PO<sub>4</sub> 1.18, NaHCO<sub>3</sub> 24.9, dextrose 10, adenosine deaminase 10 mg/ml; pH adjusted to 7.4 by bubbling with 95% O<sub>2</sub>: 5% CO<sub>2</sub>. Protease inhibitors, leupeptin (0.01 mg/ml), pepstatin A (0.005 mg/ml), aprotinin (0.1 mg/ml) and benzamide (5 mM) were included in the buffer to minimize proteolysis. Then the homogenate was diluted with 500 ml of additional ice-cold mKRBS buffer. This mixture was loaded in a 1 cc syringe and was forced through a 100 mm pore cell strainer (BD Falcon, Bedford, MA) pre-wetted with 150 ml of mKRBS, and collected in an eppendorf tube. This filtered mixture was loaded into another 1 cc syringe and filtered through a pre-wetted 5 mm pore low protein-binding filter (Millex-SV;

Millipore Corp., Bedford, MA). The homogenate was kept in ice-cold temperatures during the entire procedure to minimize proteolysis. Synaptoneurosomes were then obtained through microcentrifugation at 1000 x g for 15 minutes at 48°C. The supernatant was removed and the pellets rich in synaptosomes were resuspended in 50 µl of mKRBS and stored at -80°C.

### **Single channel recording of synaptic AMPA receptors**

Isolation of synaptosomes was carried out as previously described. The procedure for recording single channel AMPA receptor currents from synaptosomes has been described previously (Vaithianathan et al., 2005). Synaptosomal AMPA receptors were incorporated into artificial lipid bilayers using tip-dip method (Coronado and Latorre, 1983). A quartz patch pipette with a resistance of 100 MΩ was pulled using a Sutter P-2000 laser puller in a four line program with a decreasing heat and velocity on each successive line. The pipette solution contained pseudo-intracellular composed of (in mM): KCl 110.0, NaCl 4.0, NaHCO<sub>3</sub> 2.0, CaCl<sub>2</sub> 0.1, MgCl 1.0, and 3-N-morpholino propane sulfonic acid 2.0 with pH adjusted to 7.4. The 300 µl beaker contained the pseudo-extracellular solution composed of (in mM): NaCl 125.0, KCl 5.0, NaH<sub>2</sub>PO<sub>4</sub> 1.25, Tris-HCl 5.0, and pH adjusted to 7.4. The synthetic phospholipids, 1,2-diphytanoyl-sn-glycero-3-phosphocholine (Avanti Polar-Lipids, Alabaster, Alabama) required for bilayer formation was prepared by dissolving in anhydrous hexane (Sigma-Aldrich, Milwaukee, Wisconsin) at a concentration of one mg/ml. 5 µl of this preparation was added to the 300 µl beaker containing the pseudo-extracellular fluid. The bilayer was then formed by the successive transfer of two monolayers. Following

the formation of a stable bilayer membrane, monitored by a oscilloscope, 2  $\mu$ l of the previously prepared synaptosomal suspension was added to the extracellular solution and gentle stirring with a magnetic microstir bar resulted in fusion of synaptosomal fragments to the lipid bilayer. The tip-dip procedure and fusion of the synaptosome is illustrated in figure 3-1. To isolate AMPA receptor single channel currents, a combination of blockers was included in the pseudo-extracellular fluid. This cocktail contained tetrodotoxin (1  $\mu$ M), tetraethylammonium chloride (2  $\mu$ M), APV (50  $\mu$ M), methyl glutamate analog (2S, 4R)-4-methylglutamate (SYM 2081; 1  $\mu$ M), and PTX (100  $\mu$ M) to inhibit sodium channels, potassium channels, NMDA receptors, kainate receptors, GABA<sub>A</sub> receptors and glycine receptors respectively. AMPA receptor single channel currents were evoked by adding previously optimized, sub-maximal concentration of AMPA (290 nM) (Vaithianathan et al., 2005). At the end of all experiments, the specific AMPA receptor antagonist SYM 2206 (1  $\mu$ M) was added to confirm the single channel currents recorded were AMPA receptor currents. Only experiments in which single channel currents were completely blocked by SYM 2206 were used for analysis.

Single channel AMPA currents were amplified by a Warner PC-501A Patch Clamp Amplifier (Warner Instruments, Hamden, Connecticut) and digitized by a Digidata 1200 (Molecular Devices, Sunnyvale, California) at a sampling rate of 20 kHz. The currents were low bypass filtered at 2 kHz and acquired by Clampex 9.0 (Molecular Devices, Sunnyvale, California). Offline analysis was performed using Clampfit 9.0 (Molecular Devices, Sunnyvale, California). Patches exhibiting single channel current transitions in long stretches of data were used for analysis. All-points current amplitude



histograms were constructed and fitted with a Gaussian distribution to identify the multi-subconductance levels characteristic of AMPA receptors. Single channel conductances were obtained by plotting AMPA receptor currents as a function of membrane voltage using the equation  $g = I / (V - V_0)$ , where  $g$  is the single channel conductance,  $I$  is the single channel current,  $V$  is the voltage and  $V_0$  is the reversal potential. The single channel open and closed probabilities were obtained from the respective areas under the curve of the amplitude histogram.

If single channel behavior is assumed to be Markovian, the closed dwell time distributions, also referred to as the probability density function (*pdf*), can be described by a sum of several exponential functions. A channel with  $N_c$  closed states has a closed time  $pdf = A_{1c}^{(-t/\tau_{1c})} + A_{2c}^{(-t/\tau_{2c})} + \dots + A_{nc}^{(-t/\tau_{nc})}$ , where  $\tau_{1c}, \tau_{2c}, \dots, \tau_{nc}$  are the time constants and  $A_{1c}, A_{2c}, \dots, A_{nc}$  are the corresponding weights defining occurrence frequencies of the open states.

## **Gene Expression**

Total RNA was isolated from 50-100 mg of whole hippocampal lysates, with on-column DNase digestion, using an RNeasy Tissue Mini Kit (Qiagen, Valencia, CA) according to the manufacturer's protocol. RNA concentration was determined by absorption spectrophotometry at 260 nm. cDNA was synthesized according to the manufacturer's protocol from 1  $\mu$ g of RNA using an iScript cDNA synthesis kit (Bio Rad, Hercules, CA). Real-time PCR was performed in triplicate with 25  $\mu$ l of reaction mixture in MicroAmp optical 96-well reaction plates on an iCycler real-time PCR detection instrument (Bio-Rad) using 0.5  $\mu$ l cDNA, 3.0  $\mu$ l 1.25  $\mu$ M (final concentration)

primer mix, 9 ml RNAase free water and 12.5  $\mu$ l iQ SYBR Green Supermix (Bio-Rad) per reaction. Rat gene specific primers were selected and synthesized by Integrated DNA Technologies (IDT, Coralville, IA). Primer sequences for the genes can be found in Table 1-1. The following PCR amplification cycle (40X) was used: 95° C, 180 sec; 95° C, 15 sec; 60° C, 30 sec; 72° C, 30 sec. Melt curve analysis was performed to determine specificity of products formed. Relative gene expression was determined by the comparative  $C_T$  method (Pfaffl, 2001). Gene expression was normalized to  $\beta$ -actin for each experimental gene tested. The data is presented as mean  $\pm$  SEM. Significance was determined using a two-tailed Student's t-test.

### **Protein Extraction and Western Immunoblot**

Whole hippocampal homogenates or synaptoneurosomes were lysed in a chilled buffer containing protease and phosphatase inhibitors (50 mM Tris-HCl, pH 7.4, 1% NP-40, 0.25% Na-deoxycholate, 150 mM NaCl, 1 mM EDTA, 1 mM PMSF, 1  $\mu$ g/ml each aprotinin, leupeptin, and pepstatin, 1 mM  $\text{Na}_3\text{VO}_4$ , and 1 mM NaF). The lysates were incubated for 15 min on ice and centrifuged for 15 min at 10,000 x *g*, at 4°C. The supernatants containing the protein extract were collected, and their protein concentration was determined by the BCA protein assay (Pierce, Rockford, IL). Duplicate samples for individual rats were subjected to 10% SDS-PAGE and subsequently blotted to polyvinylidene difluoride membranes (Immobilon-P; Millipore, Bedford, MA). The membranes were saturated with 5% non-fat dry milk (Biorad) or 1% BSA and then incubated with anti-Akt (1:1000; Cell Signaling Technology), anti-phospho-Akt (1:1000; Cell Signaling Technology), anti-ILK (1:1000; Cell Signaling Technology), anti-GluR1

(1:1000; Millipore), anti-GluR2 (1:1000; Millipore), anti-NR1 (1:1000; Millipore), anti-NR2A (1:2000; Santa Cruz), anti-NR2B (1:500; Santa Cruz), anti-BDNF (1:500; Millipore), anti-stargazin (1:1000; Cell Signaling Technology), anti-p44/42, anti-phospho-p44/42 (1:1000; Cell Signaling Technology) or anti-GAPDH (1:5000; Millipore) antibodies overnight at 4°C. The membranes were subsequently incubated at room temperature for 1 hour with the corresponding anti-rabbit or anti-mouse antibodies (1:5000 and 1:10,000 respectively; Millipore). The membranes were then incubated in Immobilon Western HRP Substrate (Millipore) for 5 min and imaged using the Molecular Imager ChemiDoc XRS system (Bio-Rad). Signals were subsequently quantified by densitometric analyses using Quantity One Analysis software (Bio-Rad). The densities of each band, which represented individual animals, were normalized to GAPDH and these normalized values were averaged for control and ic-STZ groups. The data is presented as mean  $\pm$  SEM. Significance was determined using a two-tailed Student's t-test.

### **Quantification of GSK-3 $\beta$ phosphorylation**

The hippocampus was dissected out and homogenized in chilled Cell Lysis Buffer (Cell Signaling), and clarified by centrifugation at 13,000 x g at 4°C for 10 minutes. The clarified tissue extracts were then stored at -80°C. A BCA protein assay (Pierce, Rockford, IL) was performed to determine protein concentration. Total-GSK-3 $\beta$  and p-GSK-3 $\beta$  solid phase sandwich ELISA kits (Invitrogen) were used and assays were performed according to the manufacturer's protocol. Briefly, standards and samples (1:10 dilution) were run in duplicate on a pre-coated 96-well plate. The absorbance was run on a Synergy HT Spectrophotometer (Bio-Tek) at 450 nm, and KC4 analysis

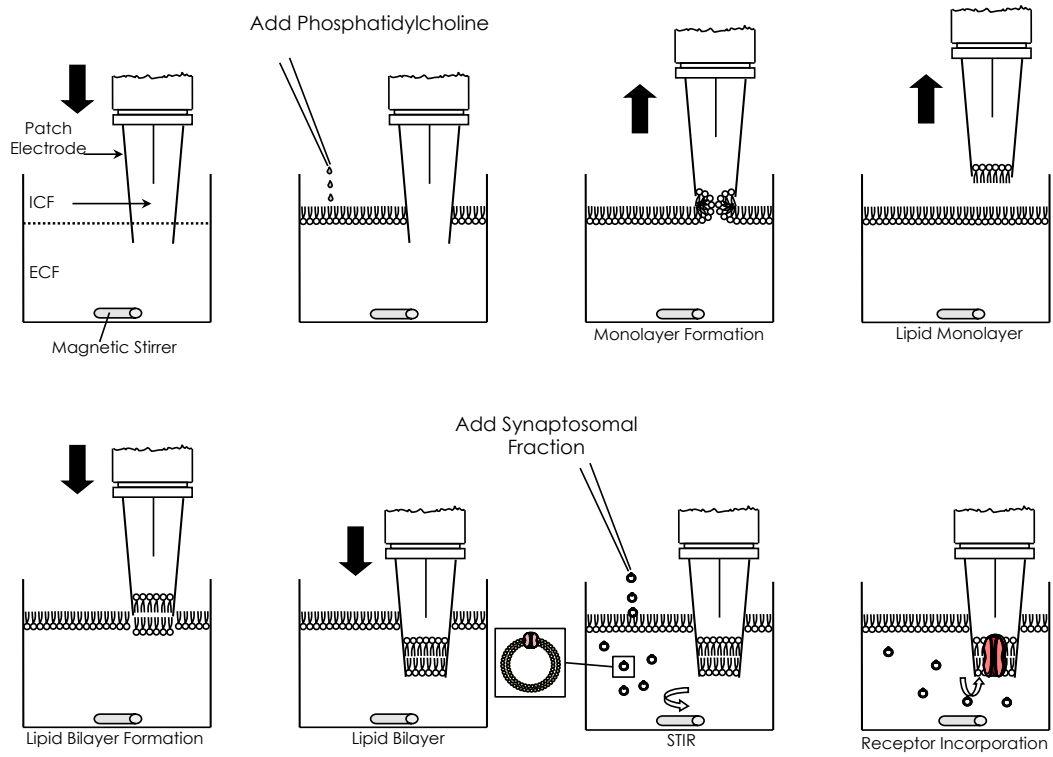
software (Bio-Tek) was used for standard curve fitting (four parameter) and interpolation of absorbance. Duplicates were averaged and the calculated concentrations were normalized to the total protein concentration determined in the BCA assay. p-GSK-3 $\beta$  and Total-GSK-3 $\beta$  values are expressed as mean  $\pm$  SEM relative to controls, and relative mean p-GSK-3 $\beta$ : relative mean Total-GSK-3 $\beta$ . The data is presented as mean  $\pm$  SEM. Significance was determined using a two-tailed Student's t-test.

### **Immunohistochemistry**

We performed high-resolution confocal microscopy, focusing on the stratum Radiatum of the CA1. Slices were fixed overnight in 4% paraformaldehyde in phosphate buffer saline, cut in 30 $\mu$ m-thick subslices and transferred to PBS. Sections were washed three times for 10 min in PBS containing 0.1% horse serum. Slices were treated for 1 hour with blocking solution containing 2% horse serum and 0.2% Triton X100 in PBS, followed by 3 hour incubation with monoclonal rabbit antibody against ILK at room temperature. Sections were then washed three times for 10 min in PBS containing 0.1% horse serum. A secondary anti-rabbit IgG antibody coupled to Alexa-Fluor-488 (Invitrogen) (1:200) was applied for 1 hour at room temperature. Finally, sections were rinsed three times for 10 min in PBS containing 0.1% horse serum and mounted in Anti-fade (Invitrogen). Images of stained sections were acquired by a Zeiss 40x objective of a confocal laser scanning microscope. Images were collected sequentially (z-stack). The excitation lasers was 488 nm.

**Figure 3-1.** Tip-dip patch clamp technique for recording single channel currents from synaptosomal AMPA receptors.

**Figure 3-1.**



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**Table 1-1: Primer sequences for RT-PCR**

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<b>Gene</b>	<b>Sense (5'-3')</b>	<b>Antisense (5'-3')</b>
$\beta$ -actin	GGTCGTACCACTGGCATTGTG	GCTCGGTCAGGATCTTCATGAG
ILK1	TCGATGAAGATATGACTGCCC	CAGTGCCACCTTCATCCC
NR1	CTGCAACCCTCACTTTTGAG	TGCAAAAGCCAGCTGCATCT
NR2A	GACGGTCTTGGGATCTTAAC	GACCATGAATTGGTGCAGG
NR2B	CAAGAACATGGCCAACCTGT	GGTACACATTGCTGTCCTTC
GluR1	TTCCTGTTGACACATCCAATCAAT	ATGGTCGATAATGCTAATGAGAGCTT
GluR2	CCTAGCTTCCCAACAGATGGC	GAGGTATGCGAACTTGTCCCA

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## CHAPTER IV:

### RESULTS

#### Basal Synaptic Transmission and LTP

Input-output relations were constructed to assess the strength of synaptic transmission in the insulin resistant brain of ic-STZ rats. These animals had a significant reduction in fEPSP slope across a range of stimulus intensities (Fig. 4-1A;  $p < 0.01$ ;  $n = 9$ ). However, the presynaptic fiber volley amplitude was not significantly different from control slices (Fig. 4-1B;  $p > 0.05$ ;  $n = 9$ ), indicating no impairment in conversion of the presynaptic stimulus into axonal depolarization. In figure 4-1C, the fEPSP slope corresponding to a given fiber volley amplitude is shown. The fiber volley indirectly represents the number of axons activated; therefore, this analysis demonstrates that for the same amount of presynaptic input, ic-STZ rats exhibit significantly decreased postsynaptic responses ( $p < 0.05$ ;  $n = 9$ ). These depressed responses could be due to decreased probability of release ( $P_r$ ). To test whether brain-insulin resistance affects  $P_r$ , we analyzed the level of paired pulse facilitation (PPF) across a range of inter-stimulus intervals. PPF, a transient form of presynaptic short-term plasticity, and occurs subsequent to an increased probability of synaptic vesicle release. PPF occurs as an enhancement in a fEPSP in responses to a second stimulus timed in close proximity to the first stimulus. The mechanism underlying this effect is believed to involve residual



calcium from the first stimulus which then is augmented by a second stimulus, thereby causing increased vesicle release and a facilitated fEPSP as a final outcome (Wu and Saggau, 1994). In both groups, the fEPSP slope was significantly higher in the second response ( $p<0.05$ ). Moreover, the slope2/slope1 PPF ratio was not significantly different across varied inter-pulse intervals (Fig. 4-1E;  $p>0.05$ ;  $n=9$ ), indicating that  $P_f$  is not altered in ic-STZ animals.

To examine synaptic plasticity in hippocampal slices from control and ic-STZ rats, we induced long-term potentiation (LTP) with high frequency stimulation (3, 100 Hz trains of 100 pulses, with an inter-train interval of 20 seconds). We found that LTP was significantly impaired in the CA1 of ic-STZ rats (Fig. 4-2;  $p<0.001$ ,  $n=9$ ). In 9 of 9 control rats, LTP could be induced and had an average of  $148.67\pm 3.44\%$  normalized to baseline fEPSP slope fifty minutes following HFS; however, in 7 of 9 ic-STZ rats, LTP could not be induced, and averaged  $105.99\pm 1.80\%$  fifty minutes after HFS.

The effects of brain-insulin resistance on within-train facilitation were evaluated by measuring the fEPSP amplitudes of responses 2-4 and 98-100, and these values were then normalized to the amplitude of the first response of each respective train. The normalized magnitudes of responses 2-4 and 98-100 were significantly reduced in ic-STZ brains compared to control (Fig. 4-3C,D,E;  $p<0.05$  and  $p<0.01$  respectively;  $n=9$ ). To assess tetanic train facilitation in slices from ic-STZ animals, we measured the composite area of each HFS train, and normalized these values to the first train. Control slices demonstrated a significant facilitation of each successive train with respect to the

previous (Fig. 4-3B;  $p<0.05$ ;  $n=9$ ); however, this effect was absent in ic-STZ tetanic trains. Representative traces are shown in figure 4-3A.

### **AMPA receptor function**

Whole cell patch-clamp recordings were performed in pyramidal neurons of the CA1 region of the hippocampus. Representative traces from control and ic-STZ groups are shown in figure 4-4A. We recorded spontaneous AMPAR-mediated miniature EPSCs in the presence of tetrodotoxin ( $1\mu\text{M}$ ), a sodium channel blocker. NMDAR activity was inhibited by APV ( $50\mu\text{M}$ ), and GABAergic inhibition was blocked by picrotoxin ( $50\mu\text{M}$ ). The amplitude of AMPAR mEPSCs was significantly decreased from  $17.82\pm 1.13$  pA in controls to  $5.48\pm 1.5$  pA in icSTZ rats, consistent with the leftward shift of the cumulative distribution of amplitudes in the ic-STZ group (Fig. 4-4B;  $p<0.05$ ;  $n=6$ ). The change in AMPAR mediated current can be explained by either decreased conductance of the individual AMPA receptors, decreased probability of opening ( $P_o$ ) or a change in surface expression of AMPA receptors at the postsynaptic density. The frequency of AMPAR mediated quantal events was not altered (Fig. 4-4C;  $0.38\pm 0.13$  Hz in controls and  $0.36\pm 0.09$  Hz in icSTZ). Since a mEPSC event reflects the release of a single synaptic vesicle, a decreased frequency of events most often correlates to decreased probability of release from the presynaptic terminal. Therefore, this data suggests that presynaptic release is not altered in ic-STZ animals.

We compared the rise time and decay time of AMPAR-mediated mEPSCs. icSTZ rats displayed significantly shorter decay times (Fig. 4-4E;  $10.86\pm 0.61$  ms in controls and

6.98±0.29 in icSTZ;  $p<0.05$ ;  $n=6$ ). The time course of quantal synaptic currents depends on a number of factors, at glutamatergic synapses, where neurotransmitter clearance is rapid, the decay time is determined primarily by kinetic properties of the individual receptors (Magleby and Stevens, 1972; Katz and Miledi, 1973). A reduction in the decay time in glutamatergic neurons may reflect desensitization of the receptor or changes in kinetics of channel closure (possibly mediated by splicing variants), and this suggests a depression of synaptic transmission through postsynaptic AMPARs. We found no change in rise time in icSTZ rats (Fig. 4-4D; 2.53±0.09 ms in controls and 2.37±0.06 ms in icSTZ). Mean values for amplitude, frequency, rise time and decay time are summarized in table 4-1.

### **Single Channel Recordings from Synaptosomal AMPA Receptors**

Synaptosomes were isolated from hippocampi of ic-STZ and sham infused rats four weeks following infusion (sixteen weeks of age). The ic-STZ synaptosomes demonstrated significant alterations in the probability of opening ( $P_o$ ) (Fig. 4-5), as well as open and closed dwell times (Fig. 4-5 and table 4-2 ). Single channel synaptic AMPA receptor activity was elicited by 290 nM of AMPA in the presence of blockers for  $Na^+$  and  $K^+$  channels, NMDA receptors, kainate receptors, and  $GABA_A$  and glycine receptors in extracellular solution (Vaithianathan et al., 2004). These currents were blocked by the antagonist of the AMPA/kainate glutamate receptors, CNQX (1  $\mu$ M). All-points current amplitude histograms for the steady state probability of observing two current levels were fitted using Gaussian functions (Fig. 4-5). At 290 nM of AMPA, two conductance levels were observed in control and ic-STZ synaptosomes. The principle conductance level of

controls was the higher, 27 pS conductance, while the principle level of the ic-STZ animals was the 14 pS conductance level (Fig. 4-5). This indicates a shift from higher conductance states which is a unique property of AMPA receptors that is known to be regulated by CaMKII alone.

The open and closed dwell time histograms were analyzed and best fitted with two exponentials for the principle conductance of control synaptosomes (27 pS). This is consistent with what we have previously found and reported in synaptosomes from similarly aged animals (Vaithianathan et al., 2004). As shown in table 4-2, synaptosomal AMPA receptors from ic-STZ hippocampi had a significant alteration in the long closed time and a significant reduction in the long open time compared to control synaptosomes (Fig 4-5).

### **AMPA and NMDA Receptor Expression**

Changes in AMPAR subunit composition would have a profound effect on the probability of opening and single channel kinetics; therefore, using western immunodetection we tested this possibility by quantifying the protein levels of GluR1 and GluR2 in whole hippocampal homogenates. However, these experiments revealed no significant changes in the protein levels of GluR1 and GluR2, although a significant reduction in stargazin, the AMPAR auxiliary protein stargazin, was observed (Fig. 4-7D;  $p < 0.001$ ). To test whether these results correlated to the expression levels in the synaptic compartment, we repeated the experiments on the synaptoneurosomal fraction and found that GluR1 protein levels were significantly decreased (Fig. 4-7D;  $p < 0.001$ ). To verify that mRNA levels in whole hippocampal lysates reflected the protein expression, we also

used RT-PCR to quantify mRNA levels in whole hippocampal lysates. These experiments revealed that GluR1 and GluR2 mRNA levels were unchanged in whole hippocampal lysates (Fig. 4-7E).

Although the aim of this study was focused on AMPAR physiology in these animals, the results from the previous set of experiments prompted us to examine and compare the subunit expression of the NMDAR subunits NR1, NR2A, and NR2B in whole hippocampal homogenates versus the synaptoneurosome fraction of the hippocampus. There were significantly increased levels of NR1 mRNA (Fig. 4-7C;  $p < 0.05$ ); however, protein levels in the synaptoneurosome fraction were decreased (Fig. 4-7A;  $p = 0.0586$ ). There was a significant reduction of NR2B mRNA levels (Fig. 4-7C;  $p < 0.0001$ ), which correlated with a significant decrease of NR2B protein (Fig. 4-7A;  $p < 0.001$ ). Interestingly, there was a slight but non-significant reduction in NR2A mRNA ( $p > 0.05$ ; Fig. 4-7C), and a large reduction of NR2A protein content ( $p < 0.0001$ ; Fig. 4-7A).

### **Signaling and Expression of the ILK-GSK-3 $\beta$ Pathway**

The activity of GSK-3 $\beta$ , which is dependent on the phosphorylation state of the enzyme, has a dramatic influence on synaptic plasticity; therefore, we performed a solid phase sandwich ELISA to quantify the level of GSK-3 $\beta$  phosphorylated at the serine-9 residue (p-GSK-3 $\beta$ ). A separate ELISA was also performed to detect the level of total GSK-3 $\beta$ , which was used to normalize the level of p-GSK-3 $\beta$ . The results are expressed as the normalized (to control) ratio of p-GSK-3 $\beta$ :Total-GSK-3 $\beta$ . The ratio of p-GSK-3 $\beta$ :Total-GSK-3 $\beta$  was significantly ( $p < 0.0001$ ;  $n = 8$ ) reduced to  $65.18 \pm 4.08\%$  in ic-STZ

animals compared to control ( $100 \pm 1.59\%$ ), indicating increased levels of active GSK-3 $\beta$  in ic-STZ synaptoneurosomes (Fig. 4-8C). The two major kinases involved in the regulation of GSK-3 $\beta$  are Integrin-linked kinase (ILK) and Akt. Therefore, we set out to determine the levels of phosphorylated Akt (p-Akt) and the protein and mRNA levels of ILK. There was no change in the ratio of p-Akt:Total-Akt in the synaptoneurosomal fraction of the hippocampus. Conversely, ILK mRNA levels were significantly reduced in the hippocampus of ic-STZ rats (Fig. 4-8B;  $p < 0.01$ ;  $n = 6$ ), which correlated to significantly decreased protein levels in the synaptoneurosome fraction (Fig. 4-8A;  $p < 0.05$ ;  $n = 6$ ). BDNF is an essential mediator of LTP (Kang et al., 1997) and is also known to modulate GSK-3 $\beta$  activity (Mai et al., 2002; Bachmann et al., 2005). There was no change in pro-BDNF protein levels in ic-STZ animals; however, there was a substantial loss of mature-BDNF (Fig. 4-8D;  $p < 0.0001$ ;  $n = 6$ ).

Immunohistochemical staining of ILK in the CA1 of the hippocampus from control and ic-STZ animals revealed uniform distribution of ILK and intense staining throughout the dendritic shaft and spine head (arrow) in controls, and abnormal clustered distribution and lack of dendritic staining of ILK in the ic-STZ hippocampus. Furthermore, the overall intensity of ILK immunoreactivity is decreased in images from ic-SZ animals relative to control (Fig. 4-10). This supports our expression analysis of ILK and suggests that the localization of ILK may be altered in ic-STZ animals.

### **Acute PPAR- $\delta$ agonist treatment partially rescues synaptic deficits**

The PPAR- $\delta$  agonist GW501516 (10 mg/kg) or the PEG vehicle was administered to ic-STZ animals three hours prior to sacrifice, and following sacrifice hippocampal

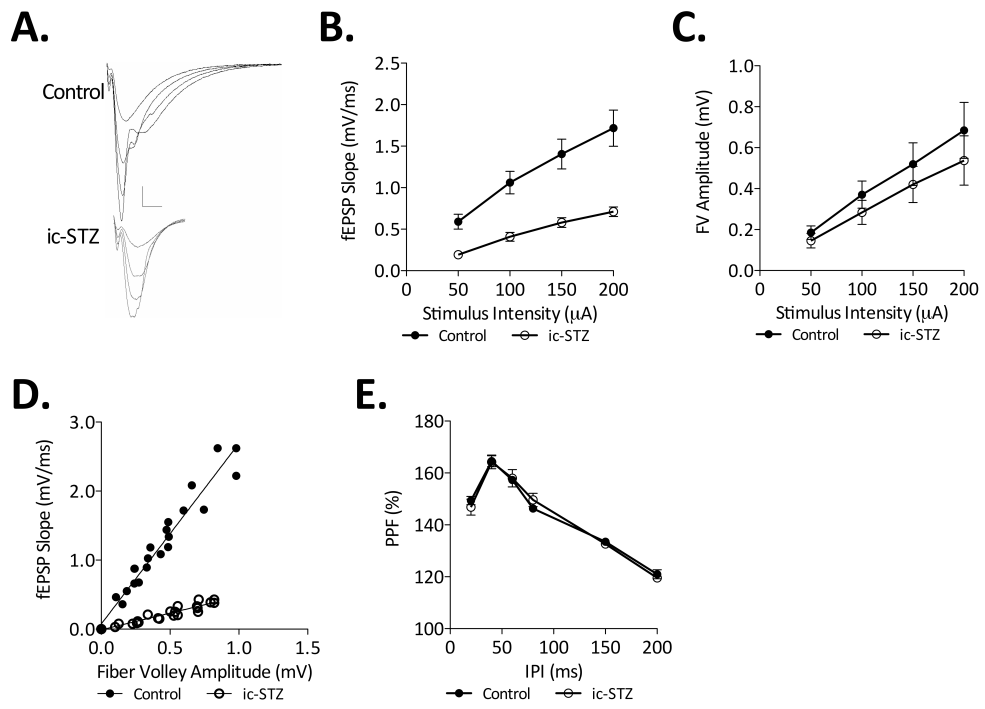
slices were produced for extracellular field recordings. The average fEPSP slope for the vehicle treated ic-STZ group was significantly reduced at all stimulus levels compared to vehicle treated control (Fig. 4-8A;  $p < 0.01$ ;  $n = 4$ ), and this was partially rescued in the GW501516 treatment group; slope values for this group were significantly different than both the control and ic-STZ groups (Fig. 4-8A;  $p < 0.05$ ;  $n = 4$ ). There was no significant difference between the fiber volley at any stimulus intensity (Fig. 4-8B). However, the fEPSP slope plotted as a function of fiber volley was significantly reduced in vehicle treated ic-STZ animals (Fig. 4-8C;  $p < 0.05$ ;  $n = 4$ ), the GW501516 treatment group was not significantly different than vehicle treated controls (Fig. 4-8C;  $p > 0.05$ ;  $n = 4$ ).

LTP was induced by high frequency stimulation (3 trains of 100 pulses (100 Hz), with 20 sec. intertrain interval) in vehicle treated control animals, and consistent with our previous data, failed to do so in the vehicle treated ic-STZ group. Acute administration of GW501516, the selective PPAR- $\delta$  agonist, partially rescued LTP three hours following IP injection. Normalized fEPSP slopes 50 min post-HFS averaged  $148.67 \pm 3.44\%$  for vehicle treated control,  $105.99 \pm 1.80\%$  for vehicle treated ic-STZ animals and  $136.31 \pm 1.93\%$  for the GW501516 treated group (Fig. 4-9).

**Figure 4-1.** Basal synaptic transmission is impaired in ic-STZ animals. **A.** Representative responses from control and ic-STZ animals show a large reduction in fEPSP the ic-STZ group (Scale bars = 0.3 mV/5 ms). **B.** The average fEPSP slope for ic-STZ (open circles) was significantly reduced at all stimulus levels compared to control (filled circles;  $p < 0.01$ ;  $n = 9$ ). **C.** Average fiber volley amplitude plotted for each stimulus intensity, there was no statistical difference between control and ic-STZ ( $p > 0.05$ ;  $n = 9$ ). **D.** Average fEPSP slopes plotted as a function of the average fiber volley amplitude, for a given fiber volley amplitude, the fEPSP slope obtained was significantly reduced in ic-STZ animals ( $p < 0.05$ ;  $n = 9$ ). **E.** PPF Comparison of paired-pulse facilitation (PPF) in controls (filled squares) and ic-STZ (open circles). PPF ratio ( $\text{slope}_2/\text{slope}_1$ ) in ic-STZ animals measured at different interpulse intervals was not significantly different from controls ( $P > 0.05$ ;  $n = 9$ ).

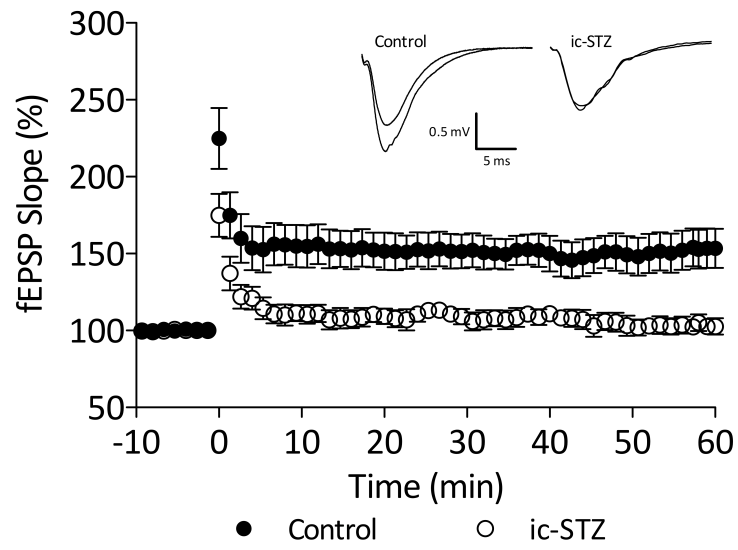


Figure 4-1.



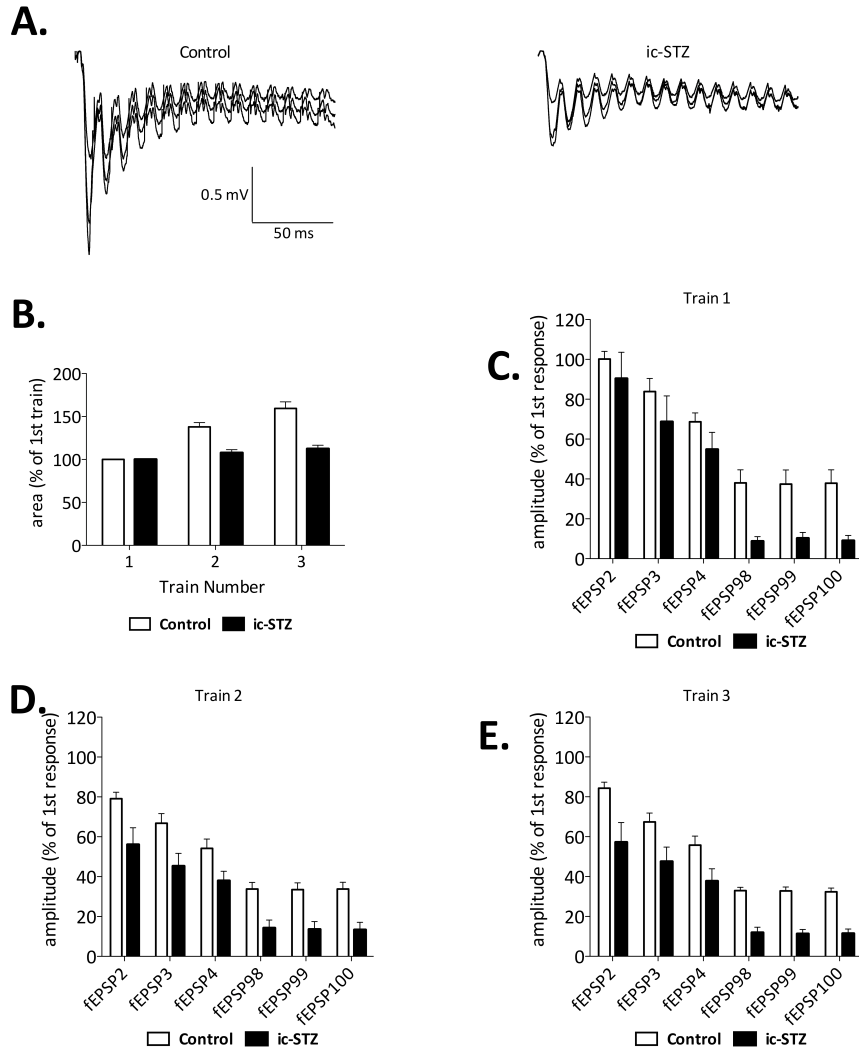
**Figure 4-2.** LTP was induced by high frequency stimulation (3 trains of 100 pulses (100 Hz), with 20 sec. intertrain interval) in control animals, but failed to do so in the ic-STZ group. Representative traces before and 40 minutes post-HFS are shown for control and ic-STZ animals. Normalized fEPSP slopes 50 min post-HFS averaged  $148.67 \pm 3.44\%$  for control and  $105.99 \pm 1.80\%$  for ic-STZ animals.

Figure 4-2.



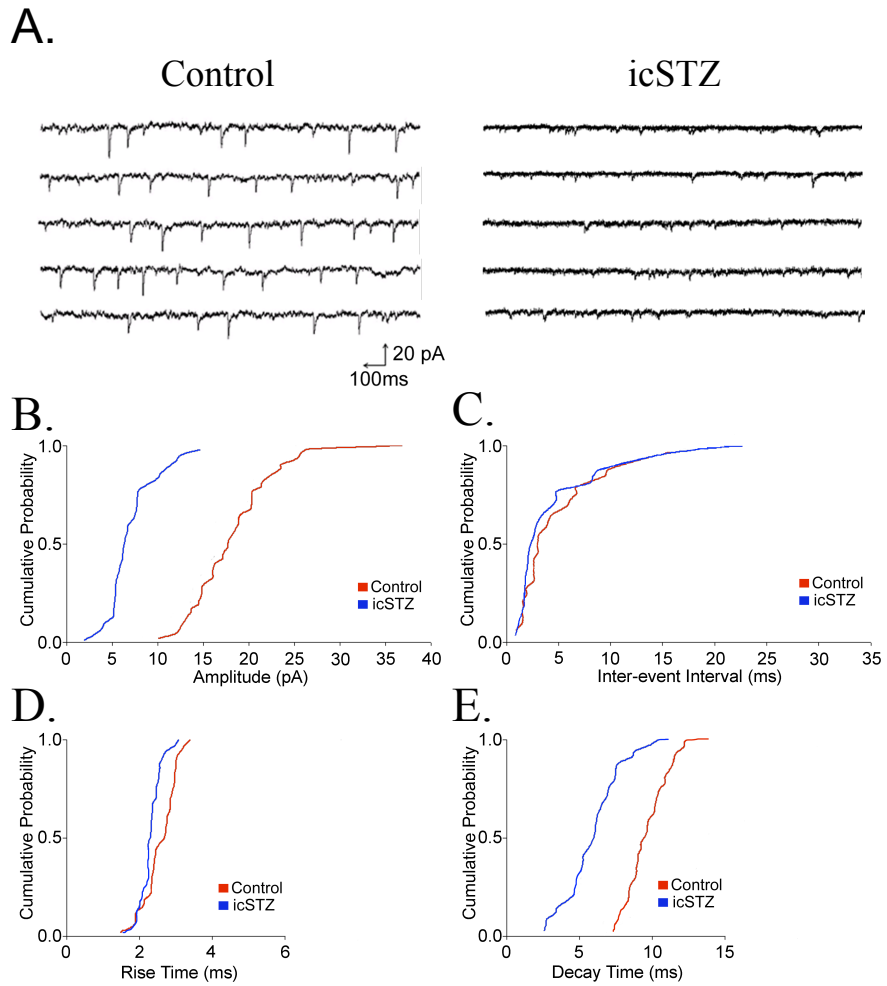
**Figure 4-3.** Effects of brain insulin-resistance on tetanic stimulation responses. **A.** Representative traces from all three train responses. **B.** Comparison of the composite area of fEPSP responses during each HFS train. The values are expressed as a relative percentage of the first train. In the control but not ic-STZ slices, tetanic facilitation occurred, with each successive train having a significantly larger area than the previous in the control slices ( $p < 0.05$ ;  $n = 9$ ). **C,D,E.** The fEPSP amplitudes of responses 2-4 and 98-100 were normalized to the amplitude of the first response of each respective train. The measures are shown for responses 2-4 and 98-100 of each train, noted as Train 1 (**C**), Train 2 (**D**), and Train 3 (**E**) above each figure. Within-train facilitation was significantly decreased in ic-STZ slices ( $p < 0.01$ ;  $n = 9$ ).

**Figure 4-3.**



**Figure 4-4.** Effect of central insulin resistance on AMPA receptor physiology. AMPA receptor-mediated mEPSCs were recorded in the whole cell mode in the presence of TTX, APV, and bicuculline with the membrane clamped at -70 mV. **A.** Representative traces of mEPSCs from CA1 pyramidal neurons from control and icSTZ hippocampal slices. Cumulative probability distributions of **B.** amplitude, **C.** interevent intervals, **D.** rise time, and **E.** decay time are shown. Distributions for amplitude and decay time are shifted to the left in icSTZ animals corresponding to the change in amplitude from  $17.82 \pm 1.13$  pA in controls to  $5.48 \pm 1.5$  pA in icSTZ rats, and the change in decay time from  $10.86 \pm 0.61$  ms to  $6.98 \pm 0.29$  ms. mEPSC frequency ( $0.38 \pm 0.13$  Hz in controls and  $0.36 \pm 0.09$  Hz in icSTZ) and rise time ( $2.53 \pm 0.09$  ms in controls and  $2.37 \pm 0.06$  ms in icSTZ) were not significantly different between control and icSTZ groups.

Figure 4-4.

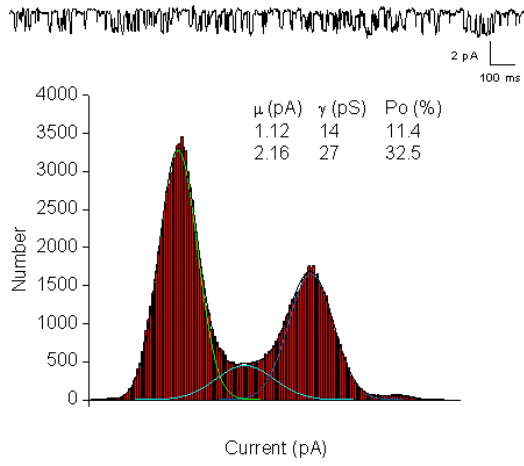


**Figure 4-5.** Single channel properties of synaptosomal AMPA receptors. Representative traces occurring at -80 mV in the presence of 290 nM AMPA, 50  $\mu$ M AP5, 1  $\mu$ M TTX, 2  $\mu$ M TEA and 100  $\mu$ M picrotoxin are shown for (A) control and (B) icSTZ synaptosomes. The channel conductance levels and relative occurrence of open states of AMPA receptor currents are 14 pS, (11.4%), 27 pS (32.5%) for controls and 14 pS, (5.4%), 27 pS for ic-STZ synaptosomes. The single channel open and close time distributions are shown for (A) control and (B) ic-STZ synaptosomes. These histograms of duration of openings and closures were fitted with two exponentials by Marquardt least squares methods. The summary of these fittings is shown in table 4-3.

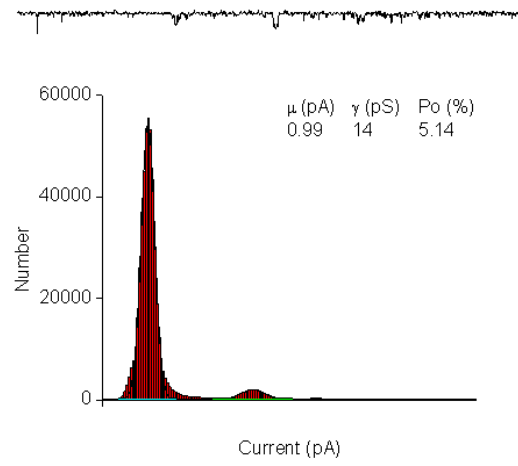


**Figure 4-5.**

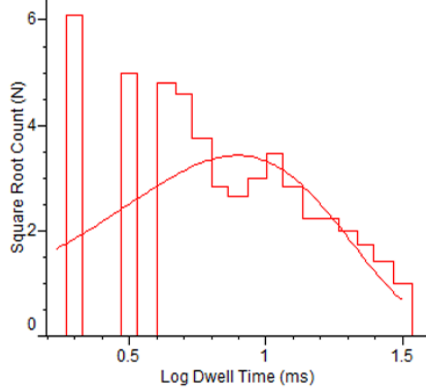
**A.**



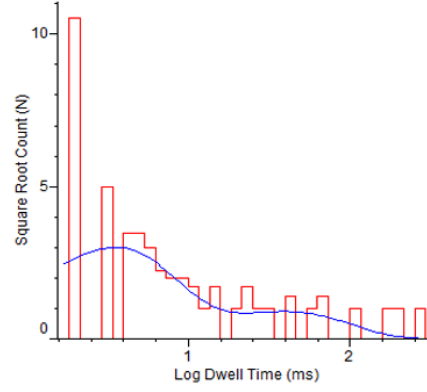
**B.**



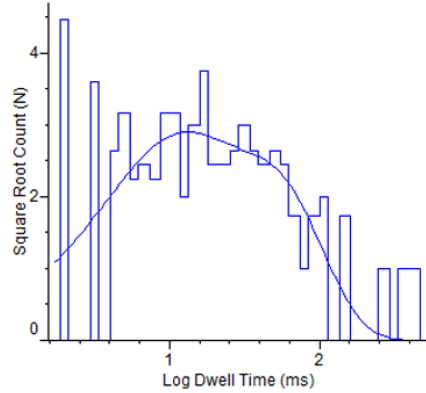
**C.**



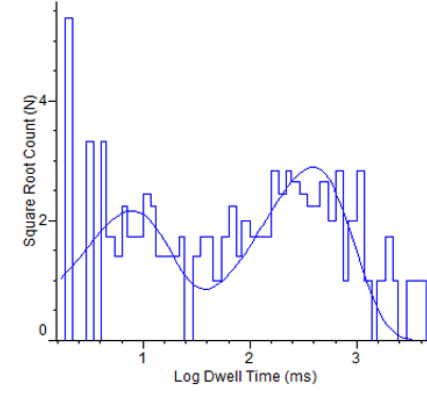
**D.**



**E.**

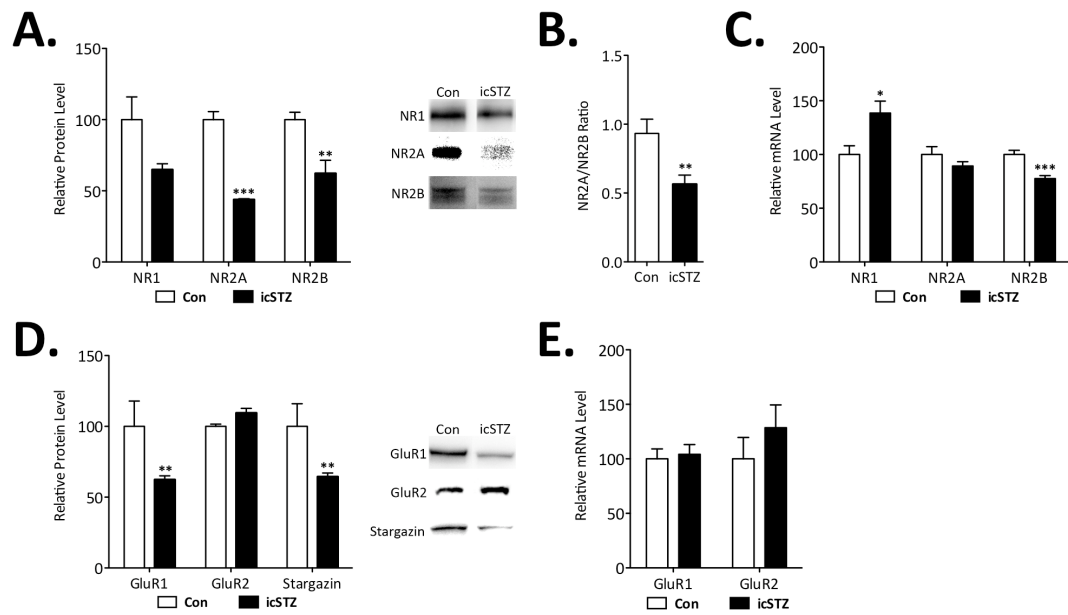


**F.**



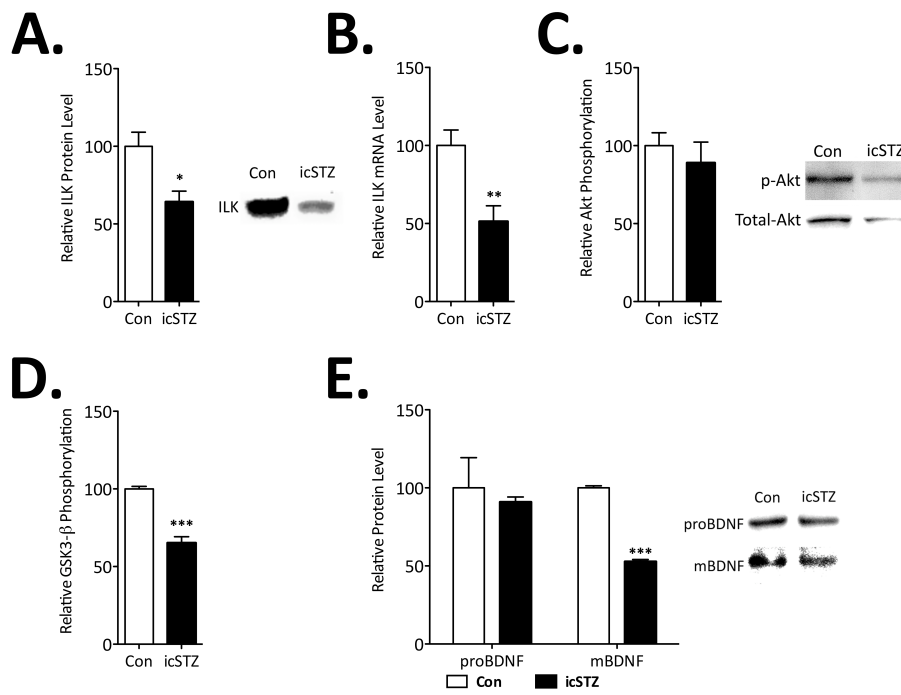
**Figure 4-6.** Western Blot analysis of protein levels of **A.** NR1, NR2A, and NR2B NMDAR subunits and **D.** GluR1 and GluR2 AMPAR subunits and the AMPAR-interacting protein stargazin was performed in the synaptoneurosome fraction of the hippocampus from control (Con) and ic-STZ (icSTZ) rats. **B.** The density of NR2A and NR2B protein levels were normalized to GAPDH and are expressed as a ratio of NR2A:NR2B in control and ic-STZ rats. mRNA levels of **C.** NR1, NR2A, and NR2B and **E.** GluR1 and GluR2 levels were quantified using rt-PCR. The quantitative data of protein and mRNA levels are expressed as a percentage of the control group, which was set as 100%. Bar graphs show mean $\pm$ SEM from 8 animals per group. \*\*\*  $p < 0.001$ , \*\*  $p < 0.001$ , and \*  $p < 0.05$  (two-tailed, unpaired Student's t-test). Representative samples are shown.

Figure 4-6.



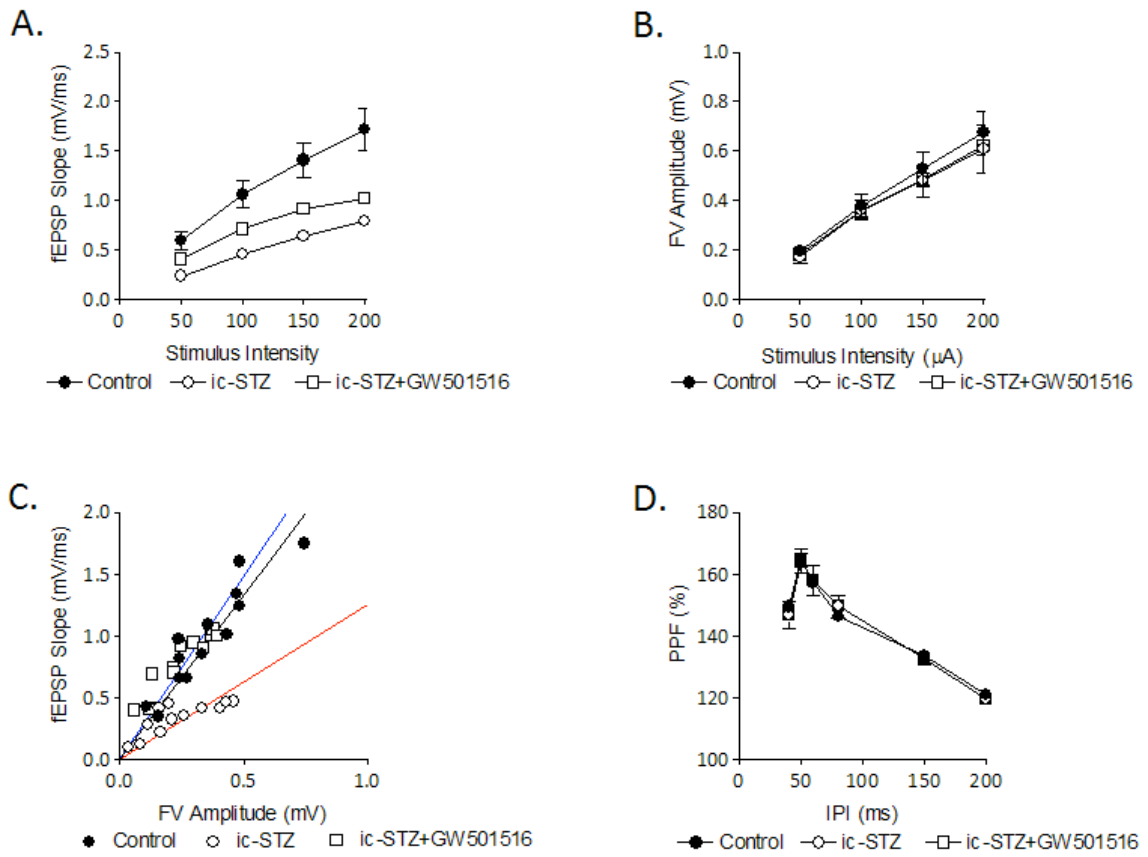
**Figure 4-7.** Examination of GSK3- $\beta$  signaling and BDNF expression in the synaptoneurosomal fraction of control and ic-STZ hippocampus. **A,C.** Protein levels of ILK, proBDNF, and mature-BDNF (mBDNF) were quantified by western blot analysis and were normalized to GAPDH. **B.** Densitometric values for total-Akt obtained by western blot were normalized to GAPDH and phospho-Akt (Ser473) was normalized to total. **(C.)** Phospho-GSK3- $\beta$  (Ser9) and total-GSK3 $\beta$  levels were quantified by sandwich ELISA and phospho-GSK3- $\beta$  was normalized to total. The quantitative data of protein levels are expressed as a percentage of the control group, which was set as 100%. Bar graphs show mean $\pm$ SEM from 8 animals per group. \*\*\*  $p < 0.001$ , \*\*  $p < 0.001$ , and \*  $p < 0.05$  (two-tailed, unpaired Student's t-test). Representative samples are shown.

Figure 4-7.



**Figure 4-8.** Impaired basal synaptic transmission in ic-STZ rats is partially rescued by PPAR- $\delta$  activation. Rats were treated with a single IP injection of either GW501516 (10 mg/kg; ic-STZ+GW501516) or an equal volume of vehicle (control, ic-STZ). The animals were sacrificed three hours post treatment **A.** The average fEPSP slope for the vehicle treated ic-STZ group (open circles) was significantly reduced at all stimulus levels compared to vehicle treated control (filled circles;  $p < 0.01$ ;  $n = 4$ ), and this was partially rescued in the GW501516 treatment group; slope values for this group were significantly different than both the control and ic-STZ groups (open squares;  $p < 0.05$ ;  $n = 4$ ). **B.** Average fiber volley amplitude plotted for each stimulus intensity, there was no statistical difference between any group ( $p > 0.05$ ;  $n = 4$ ). **C.** Average fEPSP slopes plotted as a function of the average fiber volley amplitude, for a given fiber volley amplitude, the fEPSP slope obtained was significantly reduced in vehicle treated ic-STZ animals (red linear regression line;  $p < 0.05$ ;  $n = 4$ ), the GW501516 treatment group (blue linear regression line) was not significantly different than vehicle treated controls (black linear regression line;  $p > 0.05$ ;  $n = 4$ ). **D.** PPF Comparison of paired-pulse facilitation (PPF) in vehicle treated controls (filled squares), vehicle treated ic-STZ (open circles) and ic-STZ rats treated with GW501516 (open squares). PPF ratio (slope2/slope1) measured at different interpulse intervals was not significantly between groups ( $P > 0.05$ ;  $n = 4$ ).

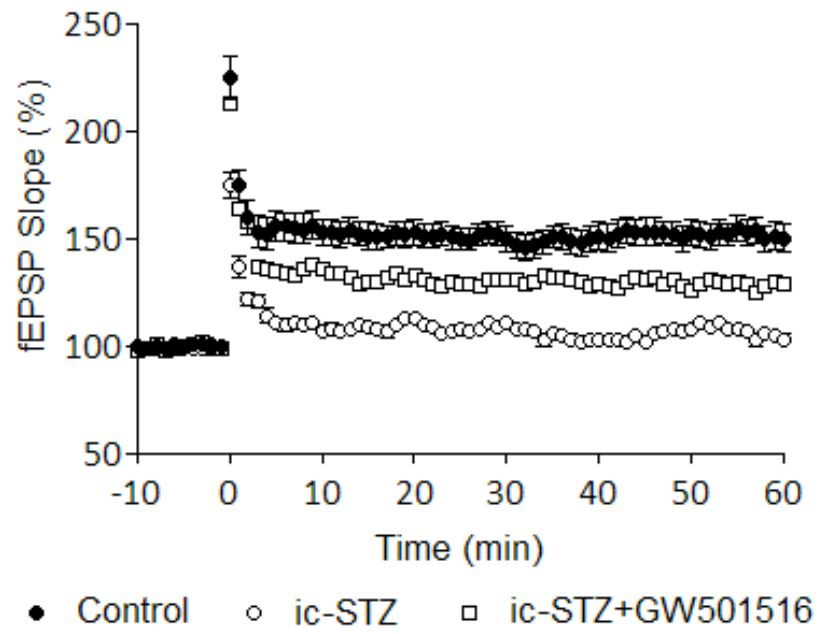
Figure 4-8.



**Figure 4-9.** LTP was induced by high frequency stimulation (3 trains of 100 pulses (100 Hz), with 20 sec. intertrain interval) in vehicle treated control animals, and consistent with our previous data, failed to do so in the vehicle treated ic-STZ group. Acute administration of GW501516, the selective PPAR- $\delta$  agonist, partially rescued LTP three hours following IP injection. Normalized fEPSP slopes 50 min post-HFS averaged  $148.67 \pm 3.44\%$  for vehicle treated control,  $105.99 \pm 1.80\%$  for vehicle treated ic-STZ animals and  $136.31 \pm 1.93\%$  for the GW501516 treated group.

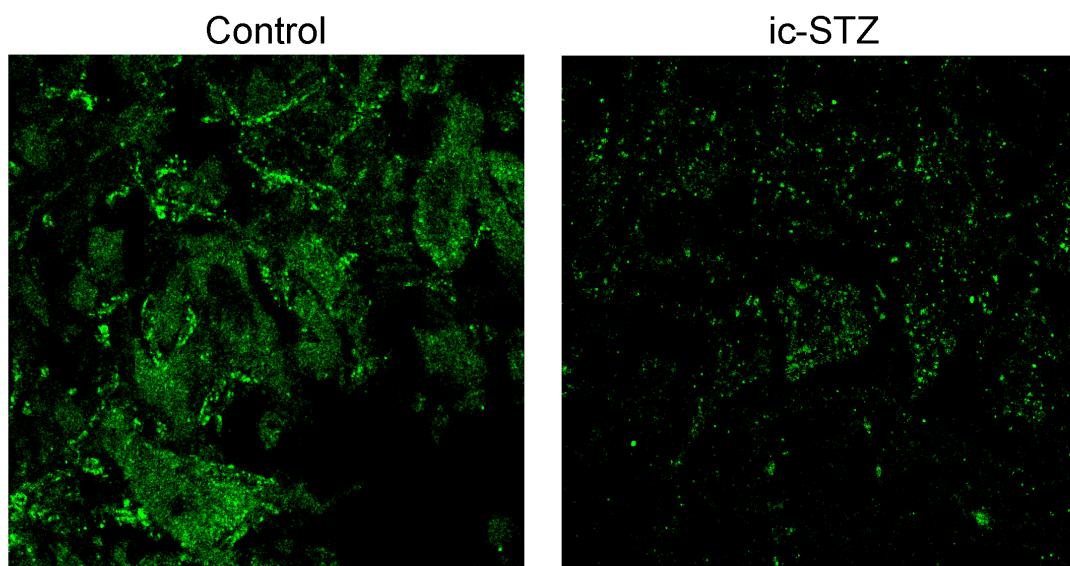


Figure 4-9.



**Figure 4-10.** Immunohistochemical stain of ILK in the CA1 of the hippocampus from control and ic-STZ animals. Control sections show uniform distribution of ILK and intense staining throughout the dendritic shaft and spine head (arrow) in controls, and abnormal clustered distribution and lack of dendritic staining of ILK in the ic-STZ hippocampus. Furthermore, the overall intensity of ILK immunoreactivity is decreased in images from ic-SZ animals relative to control.

**Figure 4-10.**



**Table 4-1: AMPAR-mediated mEPSC Properties**

	<b>Control</b>	<b>ic-SZ</b>	<b>% Change</b>
<b>Amplitude (pA)</b>	17.82 ± 1.13	5.48 ± 1.5*	-69.25
<b>Frequency (Hz)</b>	0.38 ± 0.13	0.36 ± 0.09	-
<b>Rise Time (ms)</b>	2.53 ± 0.09	2.37 ± 0.06	-
<b>Decay Time (ms)</b>	10.86 ± 0.61	6.98 ± 0.29*	-35.73

Results are expressed as mean ± SD. \*  $p < 0.05$  relative to control experiments.

**Table 4-2: AMPAR Single Channel Open-Closed Time Properties**

	<b>Control</b>	<b>ic-STZ</b>
<b>Close Time (ms)</b>	40.24 ± 0.30 (59.9 ± 0.016%)	78.73 ± 0.14* ( 59.0 ± 0.06%)
	7.37 ± 0.47 (40.1 ± 0.15%)	7.28 ± 0.21 (41.0 ± 0.06%)
<b>Open Time (ms)</b>	0.53 ± 0.63 (2.3 ± 0.32%)	0.48 ± 0.57 (13.6 ± 0.33%)
	7.87 ± 0.25 (97.7 ± 0.17%)	3.8 ± 0.37* (86.4 ± 0.21%)

Results are expressed as mean ± SD. \*  $p < 0.05$  relative to control experiments.

## **CHAPTER V: DISCUSSION**

Once we established that these animals did in fact have deficits in plasticity, we then attempted to begin to describe a mechanism accounting for these observations. To this end, we performed whole cell patch clamp recordings of isolated AMPA receptor synaptic currents in CA1 pyramidal neurons of the hippocampus. The results of these experiments revealed a profound deficit in AMPA receptor-mediated currents. The change in AMPAR mediated current can be explained by a change in the functional properties of the individual AMPA receptors or a change in surface expression of AMPA receptors on the postsynaptic membrane. To determine whether the decreased AMPA receptor amplitudes were due to changes in the single channel properties, we performed single channel recordings from synaptosomal AMPA receptors isolated from the hippocampus of icSTZ rats. We found significant changes in receptor opening and receptor kinetics, and based on the analysis of these recordings hypothesized that an alteration in AMPA receptor subunit composition may underlie these changes. Therefore, using western immunodetection we tested this possibility by quantifying the protein levels of GluR1 and GluR2 in the hippocampus. We compared subunit expression in the synaptosomal fraction versus whole cell lysates and found that while

GluR1 and GluR2 protein levels in the entire cytosolic fraction were not altered, there was a significant reduction in GluR1 content in the synaptic compartment. This suggests that GluR1 trafficking is perturbed in the neurons of these animals. The composition of AMPARs has a dramatic effect on synaptic plasticity. Whereas GluR2 containing receptors have low calcium permeability and have outwardly rectifying properties, GluR1 containing AMPARs have high calcium permeability and have an inwardly rectifying IV relationship (Hollmann et al., 1991; Burnashev et al., 1992). This hypothesis was supported by experiments using RT-PCR to quantify the mRNA content of GluR1 and GluR2, which were not significantly different from controls. We speculate that the discrepancies between mRNA and protein levels may involve disrupted cellular trafficking to the synapse, and may involve improper protein translation and post-translational events. Although not fully understood, it is believed that mRNA transcripts are trafficked to the dendritic compartment where they are locally translated. Based on this we speculate that insulin signaling might play some role in regulating or maintaining basal mRNA trafficking of GluR1 transcripts. Furthermore, the reduction in the transmembrane AMPA receptor regulatory protein stargazin suggests possible changes in AMPARs trafficking as well as single channel properties as this protein is known to play essential roles in both (Priel et al., 2005) (Bats et al., 2007).

The changes in glutamate receptor expression that occur in ic-STZ animals are in contrast to the previously reported up-regulation of these proteins in spontaneous type-I diabetic animals (Valastro et al., 2002), and the lack of change during early time points occurring in systemically administered STZ rats (Gardoni et al., 2002). This interesting

difference suggests that although insulin deficiency and insulin resistance both result in memory impairment, it is likely that the two are mediated by different underlying mechanisms. Epidemiologic evidence that type-2 diabetes is a major risk factor for AD suggests an involvement of insulin signaling in synaptic physiology. Previous studies have reported decreased IR expression and IR desensitization in AD brains (Hoyer, 2004; Steen et al., 2005); furthermore, since AD is also associated with decreased glutamate receptor levels (Bi and Sze, 2002), our results add to the emerging evidence that brain-insulin resistance may be a key feature of AD pathology.

Our next aim was to begin to connect insulin signaling to synaptic plasticity. The insulin signaling pathway and synaptic plasticity signaling mechanisms shares several common molecules, therefore, it seemed logical to start building a working model by investigating the activity and expression of these molecules in the ic-STZ hippocampus. We utilized several biochemical techniques to detect changes in GSK-3 $\beta$ , Akt, integrin-linked kinase (ILK), BDNF and Erk-1/2 at the transcriptional, translational and posttranslational levels. The results of these studies suggest a novel role for ILK in synaptic plasticity and provide preliminary evidence implicating a role for ILK in neurodegeneration, although further investigation is necessary to substantiate this postulate.

Insulin receptors are present on the synaptic membrane and activate Akt and ILK in a PI3K-dependent manner. There is evidence emerging from multiple reports that indicates that insulin-signaling pathways are impaired in the Alzheimer's disease brain (Frolich et al., 1999; Rivera et al., 2005; Cole and Frautschy, 2007; Neumann et al.,



2008). ILK associates with the intracellular domain of  $\beta$ 1-integrins. The only upstream molecule that can directly regulate the activity of both Akt and GSK-3 $\beta$  is (ILK) (Naska et al., 2006). Therefore, because of this unique ability of ILK along with the reports that  $\beta$ 1-integrin knock out mice have deficits in LTP and AMPA receptor transmission, we hypothesized that changes in ILK may be involved in the impairments we observed in the ic-STZ hippocampus. In the hippocampus, Akt and ILK are the primary kinases involved in the phosphorylation of glycogen synthase kinase or GSK-3beta. This phosphorylation decreases GSK activity, which was recently demonstrated to be necessary for the insertion of GluR1 containing receptors during the induction of LTP. Therefore, since reduced IR, expression and binding affinity are known to be present in the brains of ic-STZ animals, we investigated the expression and activity of GSK3- $\beta$ , Akt and ILK. Our results indicate that a significant increase in GSK3- $\beta$  activity occurs, which is correlated to a deficiency in ILK expression, and there was no significant change in the activity of Akt. There are also reports that ILK plays a role in maintaining BDNF expression in the hippocampus, although the mechanism for this phenomenon is unknown. Nevertheless, this suggests another means by which ILK downregulation may play a role in the LTP deficits we observed in the icSTZ animals. We found that while proBDNF was unchanged, the level of mature BDNF was decreased in icSTZ animals.

These findings suggest a novel role of ILK in synaptic physiology, and for the first time may implicate a pathologic function of this kinase in neurological disease. Further studies are warranted to validate and characterize the importance of ILK in synaptic plasticity and neurodegenerative disease. ILK plays an important role in the

regulation of actin cytoskeleton dynamics (Blattner and Kretzler, 2005), which is known to play a role in AMPA receptor trafficking and spine morphological changes during synaptic plasticity. Since ILK links integrins to the actin cytoskeleton, and because of the importance of the cytoskeleton in AMPA receptor synaptic transmission (Kim and Lisman, 1999), LTP (Kim and Lisman, 1999; Krucker et al., 2000), and AMPA surface expression (Zhou et al., 2001) we hypothesize that ILK may play an essential role in synaptic plasticity through this mechanism. ILK signaling is also essential for maintaining the expression of brain-derived neurotrophic factor (BDNF) (Guo et al., 2008) and we can speculate that deficits in ILK may be responsible for the decreased BDNF expression reported in the present study. A working model is proposed in figure 5-1.

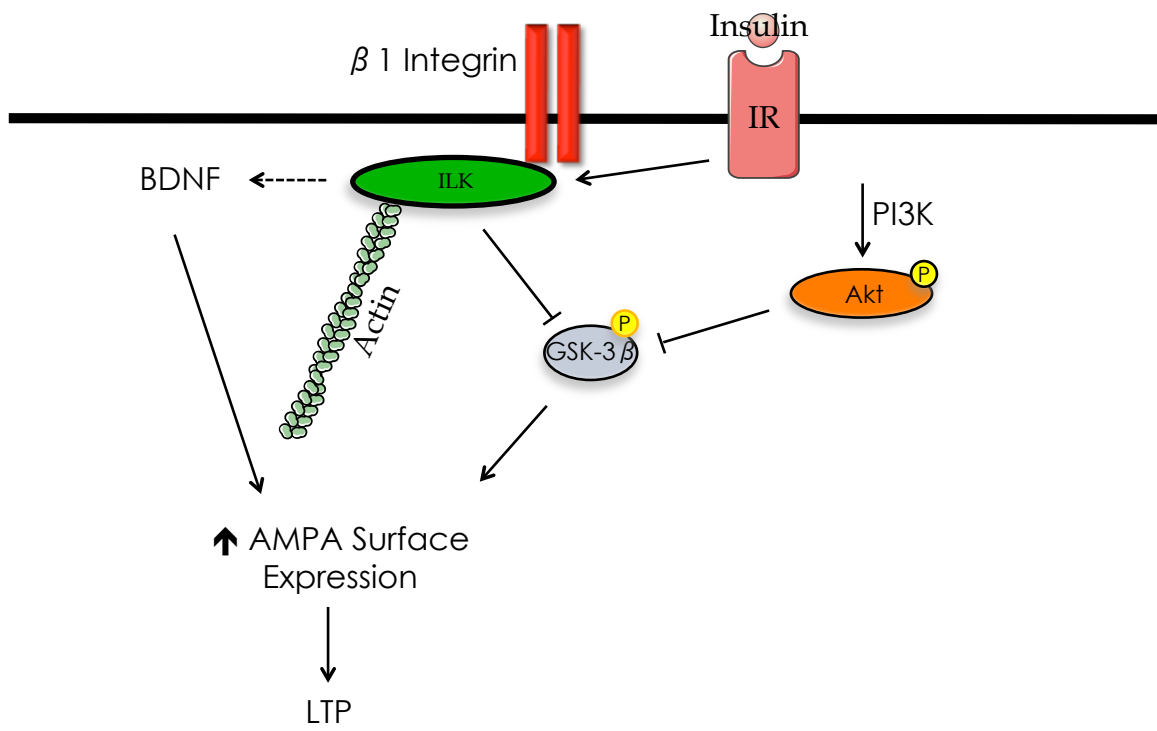
GSK3- $\beta$  activation is responsible for increased tau hyperphosphorylation, the primary component of neurofibrillary tangles in AD brains. Previous studies have shown increased hyperphosphorylated tau in ic-STZ brains, which are in line with our results and further support the hypothesis that central insulin resistance may be a primary cause of AD pathology. Findings published in the last year have now directly implicated GSK-3 $\beta$  in the regulation of hippocampal synaptic plasticity. These findings report that GSK-3 $\beta$  activity is inhibited during the induction of LTP in the hippocampus. Based on this, it is not surprising that LTP is impaired in a transgenic mouse with GSK-3 $\beta$  overexpression, which displays enhanced GSK-3 activity. Moreover, these LTP deficits can be attenuated by treatment with various GSK-3 $\beta$  inhibitors such as lithium. It has also recently been revealed that GSK-3 $\beta$  directly complexes with AMPA receptors, the

primary receptor responsible for LTP, and regulates the surface expression of these according to its phosphorylation state (Hooper et al., 2007; Peineau et al., 2007; Zhu et al., 2007).

Our data suggests a possible role for the ILK-GSK3- $\beta$  pathway in synaptic dysfunction in the insulin resistant brain. However, we cannot exclude the contribution of other pathways, and our data in fact suggests that multiple factors may converge via Akt, ILK, BDNF and GSK3- $\beta$  to modulate glutamatergic transmission. Based on our results, we can therefore, suggest that these modulatory pathways may require a functional insulin signaling system without which severe deficits in synaptic plasticity would occur.

**Figure 5-1.** Insulin receptors which are present on the synaptic membrane activate AKT in a PI3Kinase-dependent manner. They also activate integrin linked kinase. ILK associates with the intracellular domain of beta-1 integrin receptors. Because of its relationship with the insulin signaling pathways and since beta 1 integrin knock out mice have deficits in LTP and AMPA receptor transmission, we hypothesized that changes in ILK may be involved in the impairments we observed in the icSTZ hippocampus. We found that ILK protein levels were reduced by about 40% in the synaptosome fraction of icSTZ animals. In the hippocampus Akt and ILK are the primary kinases involved in the phosphorylation of glycogen synthase kinase or GSK-3beta. This phosphorylation decreases GSK activity, which Simon Lovestone's group recently demonstrated is necessary for the insertion of GluR1 containing receptors during the induction of LTP. We used a sandwich ELISA to quantify the levels of phosphorylated and total GSK-3 beta, and we found a decreased ratio of GSK-3beta to total GSK. Since phosphorylated GSK is the inactive form a decreased ratio of phospho-GSK to Total-GSK indicates increased levels of active GSK. Since AKT is the other major kinase responsible for the phosphorylation of GSK-3beta, we measured the levels of phosphorylated AKT, and found no significant change. This suggests that the downregulation of ILK may be important to the mechanism of LTP and memory deficits caused by central insulin resistance. There are also reports that ILK plays a role in maintaining BDNF expression in the hippocampus, although the mechanism for this phenomenon is unknown. Nevertheless, this suggests another means by which ILK downregulation may play a role in the LTP deficits we observed in the icSTZ animals. We found that while proBDNF was unchanged, the level of mature BDNF was nearly 50% lower in icSTZ animals. ILK is directly linked to the actin cytoskeleton, which is known to play a role in AMPA receptor trafficking and spine morphological changes during synaptic plasticity. Since ILK links integrins to the actin cytoskeleton, and because of the importance of integrins in synaptic plasticity, we hypothesize that ILK may play an essential role in synaptic plasticity through this mechanism, and we are currently investigating this hypothesis in primary hippocampal cultures using RNA interference to specifically knockdown ILK expression. This follow up study will be the first to demonstrate a role for ILK in synaptic transmission and plasticity, and may have implications for disorders of learning and memory.

Figure 5-1



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